# Electronic Structure of Cycloheptatrienyl Sandwich Compounds of Actinides: $\mathrm{An}\left(\eta^{7}-\mathrm{C}_{7} \mathrm{H}_{7}\right)_{2}(\mathrm{An}=\mathrm{Th}, \mathrm{Pa}, \mathrm{U}, \mathrm{Np}, \mathrm{Pu}, \mathrm{Am})$ 

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#### Abstract

The cycloheptatrienyl actinide sandwich compounds $\mathrm{An}\left(\eta^{7}-\mathrm{C}_{7} \mathrm{H}_{7}\right){ }_{2}{ }^{q}(\mathrm{An}=\mathrm{Th}-\mathrm{Am} ; q=2-, 1-, 0,1+)$ have been studied by using local and gradient-corrected density functional methods, with the inclusion of scalar (mass - velocity and Darwin) relativistic effects. It has been found that the staggered conformer of $\mathrm{U}\left(\eta^{7}-\mathrm{C}_{7} \mathrm{H}_{7}\right)_{2}{ }^{-}$is more stable than the eclipsed one by about $0.6 \mathrm{kcal} / \mathrm{mol}$. The $\mathrm{f} \delta$ orbitals not only participate in the bonding with the $\mathrm{e}_{2}{ }^{\prime \prime} \mathrm{p} \pi$ orbitals of the $\mathrm{C}_{7} \mathrm{H}_{7}$ rings, but are as important as the $\mathrm{d} \delta$ orbitals in stabilizing the frontier $\mathrm{p} \pi$ orbitals of the $\mathrm{C}_{7} \mathrm{H}_{7}$ rings. With increasing atomic number of the actinide, the 5 f manifold and ligand based frontier MOs become considerably closer in energy. As a result, the actinide 5 f percentage in the frontier $\mathrm{e}_{2}{ }^{\prime \prime}$ MOs increases markedly, while the contribution by the 6 d orbitals gradually decreases. The ground electron configurations, ionization energies, electron affinities, and $\mathrm{An}-\mathrm{C}_{7} \mathrm{H}_{7}$ bond energies are strongly affected by these effects. The bonding analysis indicates that $\mathrm{U}\left(\eta^{7}-\mathrm{C}_{7} \mathrm{H}_{7}\right)_{2}{ }^{-}$and $\mathrm{U}\left(\eta^{7}-\mathrm{C}_{7} \mathrm{H}_{7}\right)_{2}$ are best considered as complexes of $\mathrm{U}(\mathrm{III})$ and $\mathrm{U}(\mathrm{IV})$, respectively.


## Introduction

The organometallic chemistry of the actinide (An) elements is dominated by complexes of $\eta^{n}-\mathrm{C}_{n} \mathrm{H}_{n}$ ring ligands. ${ }^{1-3}$ Developments during the past four decades have focused primarily on complexes of cyclopentadienyl ( $\left.\eta^{5}-\mathrm{C}_{5} \mathrm{H}_{5} ; \mathrm{Cp}\right)$ and [8]annulene ( $\eta^{8}-\mathrm{C}_{8} \mathrm{H}_{8}$; COT) ligands, and of alkyl-substituted Cp and COT ligands. Unlike transition metal complexes of Cp , up to four Cp ligands can be bonded in pentahapto fashion to an actinide atom, leading to the well-known $\mathrm{Cp}_{2} \mathrm{AnX}_{2}, \mathrm{Cp}_{3}-$ AnX , and $\mathrm{Cp}_{4} \mathrm{An}$ complexes. COT has the remarkable capability of bonding in octahapto fashion to actinide elements, leading to the highly symmetric actinocene sandwich compounds An$(\mathrm{COT})_{2}$. Indeed, the 1968 synthesis of uranocene, $\mathrm{U}(\mathrm{COT})_{2}$, is one of the milestones of modern organometallic chemistry. ${ }^{4}$ More recently, organoactinide compounds that contain both Cp and COT ligands have been synthesized and structurally characterized. ${ }^{5}$

$C_{p}$ An


An(COT) $\mathbf{2}_{2}$


The bonding of Cp and COT ligands to actinide elements has been extensively investigated by using a variety of theoreti-

[^0]cal methods. ${ }^{6-8}$ Not surprisingly, the bonding of these ligands to actinide elements is dominated by interactions of the ligand $\pi$ orbitals with both the 5 f and 6 d orbitals of the actinide element. In fact, the bonding in uranocene was anticipated 5 years before the successful synthesis of the molecule, largely by consideration of the allowed interactions between the valence electrons of the uranium atom and the filled and empty $\pi$ orbitals of the COT ligands. ${ }^{9}$

Recently, the class of organoactinide sandwich compounds has been expanded via the first report of a cycloheptatrienyl ( $\eta^{7}-\mathrm{C}_{7} \mathrm{H}_{7}$; Ch) sandwich complex of an actinide element. This complex, the bis(cycloheptatrienyl)uranium anion $\left(\mathrm{UCh}_{2}{ }^{-}\right)$, was synthesized and characterized crystallographically by Ephritikhine and co-workers. ${ }^{10}$ The discovery of this anion paves the way for the exploration of the chemistry of cycloheptatrienyl sandwich compounds of actinides.

Prior studies of metal-Ch complexes have focused on mixed $\mathrm{Cp}-\mathrm{Ch}$ complexes of the transition elements. ${ }^{11}$ Most relevant to this contribution are the prior studies by Green, Green, Kaltsoyannis, and co-workers, who have used both theoretical
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calculations and photoelectron spectroscopy to provide an excellent description of the bonding in early-transition-metal ChMCp complexes. ${ }^{12}$

Inasmuch as $\mathrm{UCh}_{2}{ }^{-}$is the first bis(cycloheptatrienyl)metal sandwich compound, ${ }^{13}$ its synthesis raises several interesting questions about this class of compounds: (1) Will other early actinides be able to form sandwich compounds with Ch ligands? (2) What are the most stable conformations of these molecules in the gas phase? (3) What are the electronic ground states of these complexes? (4) What are the best choices of the formal valence states of the actinide elements in these unique compounds? (5) What are the relative roles of the An 5 f and 6 d orbitals in stabilizing these complexes?

In this paper, we report theoretical investigations on the conformations, bonding, electronic states, stabilities, and energetics of cycloheptatrienyl sandwich compounds of the actinides Th through Am. We have focused on these actinides rather than the later ones because most of the early actinides have relatively long nuclear lifetimes, which can facilitate synthetic efforts in this area. Further, the chemical properties of the early actinides are distinctly different from those of the later actinides $\mathrm{Cm}-\mathrm{Lr} .^{14}$ Our calculations employ quasi-relativistic localdensity and gradient-corrected density functional theory (DFT) methods, ${ }^{15,16}$ which are less expensive computationally than correlated ab initio methods, ${ }^{17}$ and can reach an accuracy comparable to the ab initio G1 procedure. ${ }^{18}$ The complexes investigated include the neutral cycloheptatrienyl sandwich compounds $\mathrm{AnCh}_{2}\left[\mathrm{Ch}=\eta^{7}-\mathrm{C}_{7} \mathrm{H}_{7} ; \mathrm{An}=\mathrm{Th}, \mathrm{Pa}, \mathrm{U}, \mathrm{Np}, \mathrm{Pu}\right.$, and Am$]$, and the corresponding cations $\mathrm{AnCh}_{2}{ }^{+}$, monoanions $\mathrm{AnCh}_{2}{ }^{-}$, and dianions $\mathrm{AnCh}_{2}{ }^{2-}$. Because of the central role played by uranium in the development of organoactinide chemistry, particular attention is given to the isoelectronic series that include the $\mathrm{UCh}_{2}$ and $\mathrm{UCh}_{2}{ }^{-}$complexes, namely the " 20 -
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electron (20e)" series $\mathrm{ThCh}_{2}{ }^{2-}, \mathrm{PaCh}_{2}{ }^{-}, \mathrm{UCh}_{2}$, and $\mathrm{NpCh}_{2}{ }^{+}$, and the "21-electron (21e)" series $\mathrm{PaCh}_{2}{ }^{2-}, \mathrm{UCh}_{2}{ }^{-}, \mathrm{NpCh}_{2}$, and $\mathrm{PuCh}_{2}{ }^{+} .{ }^{19}$

## Computational Details

All the calculations were carried out with use of the Amsterdam Density Functional (ADF) code, Versions 1.1 and 2.0 (Theoretical Chemistry, Vrije Universiteit, Amsterdam, The Netherlands), developed by Baerends et al., ${ }^{20}$ which incorporates the relativistic extensions first proposed by Snijders et al. ${ }^{21}$ The code was vectorized by Ravenek, ${ }^{22}$ and the numerical integration scheme applied for the calculations was developed by te Velde et al. ${ }^{23}$ The density functional calculations were performed by using the non-relativistic local density approach (LDA $\left.{ }^{N R}\right),{ }^{24}$ the relativistic local density approach ( $\mathrm{LDA}^{R}$ ), ${ }^{25}$ and the gradientcorrected method that utilized Becke's exchange functional ${ }^{26}$ and Perdew's correlation functional ${ }^{27}\left(\mathrm{BP}^{\mathrm{NR}}\right.$ and $\left.\mathrm{BP}^{\mathrm{R}}\right)$. Most calculations were carried out by using the spin-restricted Kohn-Sham (RKS) method to facilitate bonding discussion and to reduce the computational cost. However, for some of the one-electron properties, the spinunrestricted Kohn-Sham (UKS) density functional calculations were used as well. All the results presented were taken from the RKS calculations, except as otherwise specified.

The basis set for the actinide atoms consists of uncontracted triple- $\zeta$ STO bases for the 6d and 5f AOs, uncontracted double- $\zeta$ STO bases for the $6 \mathrm{~s}, 6 \mathrm{p}$, and 7 s AOs, and a single- $\zeta$ STO basis for the 7 p AOs. For the ligand-based orbitals, we use uncontracted double- $\zeta$ STO bases for the $2 s$ and $2 p$ orbitals of carbon and for the $1 s$ orbital of hydrogen. ${ }^{28}$ The $1 \mathrm{~s}^{2}$ core of carbon and the $[\mathrm{Xe}] 4 \mathrm{f}^{14} 5 \mathrm{~d}^{10}$ cores of the actinides were treated by using the frozen-core approximation proposed by Baerends and co-workers. ${ }^{20 a}$ For fitting the molecular density and accurately representing the Coulomb and exchange potentials in each SCF cycle, a set of auxiliary s, p, d, f, and g type STO functions centered on all nuclei were used. ${ }^{29}$ The scalar relativistic effects, i.e. the mass-velocity effect and the Darwin effect, were taken into account by use of the quasi-relativistic method ${ }^{30}$ incorporated in the ADF calculations. The relativistic atomic core densities and the core potentials for the actinide and the carbon atoms were computed by using the ADF auxiliary program DIRAC.

The geometries of these compounds were optimized by using the analytical energy gradient techniques implemented in ADF 2.0. All $\mathrm{An}-\mathrm{C}, \mathrm{C}-\mathrm{C}$, and $\mathrm{C}-\mathrm{H}$ distances and the angle between the $\mathrm{C}-\mathrm{H}$ bonds and the $\mathrm{C}_{7}$ plane were fully optimized under the constraint of $D_{7 h}$ symmetry. Tight criteria for the numerical integration accuracy (INTEGRATION $=4.0$ ) and the gradient convergence $\left(10^{-4}\right)$ were adopted for the geometry optimizations.

## Results and Discussion

Free Ligand Calculations. One of the goals in this study is to assess the donor and acceptor capabilities of the Ch ligand
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Table 1. Character Table for the $D_{7 h}$ Point Group ${ }^{a}$

| $D_{7 h}$ | E | $2 \mathrm{C}_{7}$ | $2 \mathrm{C}_{7}{ }^{2}$ | $2 \mathrm{C}_{7}{ }^{3}$ | $7 \mathrm{C}_{2}$ | $\sigma_{\mathrm{h}}$ | $2 \mathrm{~S}_{7}$ | $2 \mathrm{~S}_{7}{ }^{3}$ | $2 \mathrm{~S}_{7}{ }^{5}$ | $7 \sigma_{\mathrm{v}}$ | An orbitals |
| :--- | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| $\mathrm{A}_{1}{ }^{\prime}$ | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | $\mathrm{~s}, \mathrm{~d}_{0}$ |
| $\mathrm{~A}_{2}{ }^{\prime}$ | 1 | 1 | 1 | 1 | -1 | 1 | 1 | 1 | 1 | -1 | $\mathrm{Ch}_{2}$ orbitals ${ }^{b}$ |
| $\mathrm{E}_{1}{ }^{\prime}$ | 2 | $2 \alpha$ | $2 \beta$ | $2 \gamma$ | 0 | 2 | $2 \alpha$ | $2 \gamma$ | $2 \beta$ | 0 | $\mathrm{p}_{ \pm 1}, \mathrm{f}_{ \pm 1}$ |
| $\mathrm{E}_{2}{ }^{\prime}$ | 2 | $2 \beta$ | $2 \gamma$ | $2 \alpha$ | 0 | 2 | $2 \beta$ | $2 \alpha$ | $2 \gamma$ | 0 | $\mathrm{~d}_{ \pm 2}$ |
| $\mathrm{E}_{3}{ }^{\prime}$ | 2 | $2 \gamma$ | $2 \alpha$ | $2 \beta$ | 0 | 2 | $2 \gamma$ | $2 \beta$ | $2 \alpha$ | 0 | $\mathrm{f}_{ \pm 3}{ }^{\prime}$ |
| $\mathrm{A}_{1}{ }^{\prime \prime}$ | 1 | 1 | 1 | 1 | 1 | -1 | -1 | -1 | -1 | -1 | $\pi_{1}{ }^{\prime}$ |
| $\mathrm{A}_{2}{ }^{\prime \prime}$ | 1 | 1 | 1 | 1 | -1 | -1 | -1 | -1 | -1 | 1 | $\mathrm{p}_{0}, \mathrm{f}_{0}$ |
| $\mathrm{E}_{1}{ }^{\prime \prime}$ | 2 | $2 \alpha$ | $2 \beta$ | $2 \gamma$ | 0 | -2 | $-2 \alpha$ | $-2 \gamma$ | $-2 \beta$ | 0 | $\mathrm{~d}_{ \pm 1}$ |
| $\mathrm{E}_{2}{ }^{\prime \prime}$ | 2 | $2 \beta$ | $2 \gamma$ | $2 \alpha$ | 0 | -2 | $-2 \beta$ | $-2 \alpha$ | $-2 \gamma$ | 0 | $\mathrm{f}_{ \pm 2}$ |
| $\mathrm{E}_{3}{ }^{\prime \prime}$ | 2 | $2 \gamma$ | $2 \alpha$ | $2 \beta$ | 0 | -2 | $-2 \gamma$ | $-2 \beta$ | $-2 \alpha$ | 0 | $\pi_{3}{ }^{\prime}$ |

${ }^{a} \alpha=\cos (2 \pi / 7), \beta=\cos (4 \pi / 7), \gamma=\cos (6 \pi / 7) .{ }^{b}$ The notations $\pi_{\mathrm{i}}^{\prime}$ and $\pi_{\mathrm{i}}{ }^{\prime \prime}$ refer to group orbitals of the $\pi_{\mathrm{i}}$ orbitals of the two Ch rings that are symmetric and antisymmetric with respect to the $\sigma_{\mathrm{h}}$ mirror plane, respectively.
relative to more familiar ligands for actinides, such as Cp and COT. Planar cyclic $\eta^{n}-\mathrm{C}_{n} \mathrm{H}_{n}$ ligands, such as Cp , benzene, and COT, interact with metal atoms primarily via the ligand's filled and empty $\pi$ MOs. Not surprisingly, we will see that this is also the case for the Ch ligand. It will also be of interest to address the question of what is the best choice of formal charge for the Ch ligand in the complexes. In the case of Cp and COT, it is clear that these ligands are best considered as the Hückelaromatic $6 \mathrm{e}^{-} \mathrm{C}_{5} \mathrm{H}_{5}{ }^{-}$and $10 \mathrm{e}^{-} \mathrm{C}_{8} \mathrm{H}_{8}{ }^{2-}$ anions, respectively. Thus, both $\mathrm{Cp}_{4} \mathrm{U}$ and $\mathrm{U}(\mathrm{COT})_{2}$ are best considered as $\mathrm{f}^{2} \mathrm{U}(\mathrm{IV})$ complexes.

We will consider the free ligand under its most symmetric heptagonal planar geometry, for which it will have $D_{7 h}$ point symmetry. Because $D_{7 h}$ symmetry is rather uncommon, this point group is not generally included in compilations of character tables. We present the character table for the $D_{7 h}$ single group in Table 1, along with the representations spanned by relevant orbitals of the $\mathrm{AnCh}_{2}$ systems. Under $D_{7 h}$ symmetry, the $\mathrm{p} \pi$ AOs of $\mathrm{C}_{7} \mathrm{H}_{7}$ lead to the following $\pi$ MOs, in order of increasing energy: $\mathrm{a}_{2}{ }^{\prime \prime}\left(\pi_{0}\right)<\mathrm{e}_{1}{ }^{\prime \prime}\left(\pi_{1}\right)<\mathrm{e}_{2}{ }^{\prime \prime}\left(\pi_{2}\right)<\mathrm{e}_{3}{ }^{\prime \prime}\left(\pi_{3}\right)$. Contour diagrams of these $\pi$ MOs are presented in Figure 1. As is typical for symmetric, planar $\pi$ systems, the $\mathrm{p} \pi$ AO coefficients for each of these MOs can be determined entirely from the irreducible representations of the $D_{7 h}$ point group. ${ }^{31}$

The Ch ligand lends itself to two limiting $4 n+2 \pi$-electron forms, namely as a $6 \mathrm{e}^{-} \mathrm{C}_{7} \mathrm{H}_{7}{ }^{+}$ion or as a $10 \mathrm{e}^{-} \mathrm{C}_{7} \mathrm{H}_{7}{ }^{3-}$ ion. Figure 2 compares the relative energies of the $\pi \mathrm{MOs}$ of the $6 \mathrm{e}^{-}$systems $\mathrm{C}_{4} \mathrm{H}_{4}{ }^{2-}, \mathrm{C}_{5} \mathrm{H}_{5}{ }^{-}, \mathrm{C}_{6} \mathrm{H}_{6}, \mathrm{C}_{7} \mathrm{H}_{7}{ }^{+}$, and $\mathrm{C}_{8} \mathrm{H}_{8}{ }^{2+}$, and of the $10 \mathrm{e}^{-}$systems $\mathrm{C}_{6} \mathrm{H}_{6}{ }^{4-}, \mathrm{C}_{7} \mathrm{H}_{7}{ }^{3-}$, and $\mathrm{C}_{8} \mathrm{H}_{8}{ }^{2-}$ as obtained via $\mathrm{BP}^{\mathrm{R}} \mathrm{ADF}$ calculations with double- $\zeta$ basis sets. Because the charges on the systems are different, the MO energies cannot be compared directly; rather, the orbital energies are referenced to the lowest-lying totally-symmetric orbital in each molecule or ion.

The results in Figure 2 are in accord with the expectations from usual $\pi$-only treatments of cyclic $\mathrm{C}_{n} \mathrm{H}_{n}$ systems, and with prior studies of the bonding capabilities of the Ch ligand. ${ }^{12} \mathrm{In}$ the $6 \mathrm{e}^{-}$systems, the doubly-degenerate HOMO acts as a donor orbital to the An orbitals and the LUMO (singly-degenerate for $\mathrm{C}_{4} \mathrm{H}_{4}{ }^{2-}$, doubly-degenerate for the others) acts as an acceptor orbital. For $\mathrm{C}_{7} \mathrm{H}_{7}{ }^{+}$, the $\mathrm{e}_{1}{ }^{\prime \prime}$ HOMO and $\mathrm{e}_{2}{ }^{\prime \prime}$ LUMO are, as expected, significantly lower in energy than the corresponding MOs in $\mathrm{C}_{5} \mathrm{H}_{5}{ }^{-}$and $\mathrm{C}_{6} \mathrm{H}_{6}$; in particular, the $\mathrm{e}_{2}{ }^{\prime \prime}$ orbital of $\mathrm{C}_{7} \mathrm{H}_{7}{ }^{+}$ is ca. 3.7 eV lower in energy than the $\mathrm{e}_{2}{ }^{\prime \prime} \mathrm{MO}$ in $\mathrm{C}_{5} \mathrm{H}_{5}{ }^{-}$. Thus, $\mathrm{C}_{7} \mathrm{H}_{7}{ }^{+}$will act as a weaker donor ligand and a significantly stronger acceptor ligand than does $\mathrm{C}_{5} \mathrm{H}_{5}{ }^{-}$or $\mathrm{C}_{6} \mathrm{H}_{6}$. In the $10 \mathrm{e}^{-}$ $\mathrm{C}_{7} \mathrm{H}_{7}{ }^{3-}$ anion, the filled $\mathrm{e}_{2}{ }^{\prime \prime} \mathrm{MO}$ is the HOMO of the ion and acts as a donor orbital. This orbital is roughly 0.7 eV higher than the corresponding $\mathrm{e}_{2 \mathrm{u}} \mathrm{MO}$ of $\mathrm{C}_{8} \mathrm{H}_{8}{ }^{2-}$, and therefore the $\mathrm{C}_{7} \mathrm{H}_{7}{ }^{3-}$ ion is expected to be a stronger donor ligand than

[^1]

Figure 1. Contour diagrams of the $\pi$ MOs of planar $\mathrm{C}_{7} \mathrm{H}_{7}$ taken 0.5 $\AA$ above the molecular plane. Contour values are $\pm n \cdot 0.05 \AA^{-3 / 2}$, with negative contours indicated with dashed lines.
$\mathrm{C}_{8} \mathrm{H}_{8}{ }^{2-}$. These observations suggest that the Ch ligand is less likely to act as a $4 n+2 \pi$ electron ligand than is either Cp or COT, and that the "best" charge on the ligand in $\mathrm{AnCh}_{2}$ complexes may be intermediate between the Hückel extremes of $1+$ and $3-$.

Qualitative Aspects of the Bonding in $\mathbf{A n C h}_{2}$ Complexes. Before discussing the quantitative results of the calculations on $\mathrm{AnCh}_{2}$ complexes, it is useful to present a qualitative discussion of the bonding in these complexes, driven largely by their high symmetry. For this discussion, we will assume that both the free Ch ligand and the $\mathrm{AnCh}_{2}$ complexes have $D_{7 h}$ symmetry, which corresponds to an eclipsed conformation for the complexes. This discussion can readily be extended to staggered ( $D_{7 d}$ ) or semistaggered ( $D_{7}$ ) conformations of $\mathrm{AnCh}_{2}$, but we will not present those extensions here.

The representations spanned by relevant orbitals of the $\mathrm{AnCh}_{2}$ systems are presented in Table 1. Because of the cylindrical symmetry of the complexes, it is convenient to label the AOs of the An atoms by using the magnetic angular quantum number


Figure 2. Relative energies of the $\pi$ MOs of the $6 \mathrm{e}^{-}$systems $\mathrm{C}_{4} \mathrm{H}_{4}{ }^{2-}$, $\mathrm{C}_{5} \mathrm{H}_{5}^{-}, \mathrm{C}_{6} \mathrm{H}_{6}, \mathrm{C}_{7} \mathrm{H}_{7}^{+}$, and $\mathrm{C}_{8} \mathrm{H}_{8}{ }^{2+}$, and of the $10 \mathrm{e}^{-}$systems $\mathrm{C}_{6} \mathrm{H}_{6}{ }^{4-}$, $\mathrm{C}_{7} \mathrm{H}_{7}{ }^{3-}$, and $\mathrm{C}_{8} \mathrm{H}_{8}{ }^{2-}$. All MO energies are referenced to the lowest totally-symmetric MO in each molecule or ion.
$\left(m_{l}\right)$ rather than the usual Cartesian labels. Under this system, the real orbitals corresponding to the complex orbitals of $m_{l}=$ $0, \pm 1, \pm 2$, and $\pm 3$ are of $\sigma, \pi, \delta$, and $\phi$ symmetry with respect to the An-Ch bonding. Therefore, the orbitals $\mathrm{f}_{z^{3}},\left\{\mathrm{f}_{x z^{2}}, \mathrm{f}_{y z}{ }^{2}\right\}$, $\left\{\mathrm{f}_{z\left(x^{2}-y^{2}\right)}, \mathrm{f}_{x y z}\right\}$, and $\left\{\mathrm{f}_{x\left(x^{2}-3 y^{2}\right)}, \mathrm{f}_{y\left(3 x^{2}-y^{2}\right)}\right\}$ will be represented by $f_{0}, f_{ \pm 1}, f_{ \pm 2}$, and $f_{ \pm 3}$, respectively. This notation has several advantages: (1) The $D_{7 h}$ and $D_{7 d}$ ligand fields do not mix orbitals of different $\left|m_{l}\right|$ values. (2) The subscripts of $\mathrm{p}, \mathrm{d}$, and f orbitals with $\left|m_{l}\right|>0$ match those of the doubly-degenerate irreducible representations of the $\left(\mathrm{C}_{7} \mathrm{H}_{7}\right)_{2} \mathrm{p} \pi$ orbitals. (3) In a double group formalism, the splittings due to spin-orbit coupling are easily obtained when the complex spherical harmonics $\mathrm{Y}_{l}{ }^{m}$ and $\mathrm{Y}_{l}{ }^{-m}$ $(|m|=\lambda)$ are used as basis functions. We will present doublegroup results in a later publication.

Combinations of the $\pi$ MOs on two eclipsed Ch rings lead to group orbitals that are symmetric and antisymmetric with respect to the mirror plane that is perpendicular to the $\mathrm{C}_{7}$ axis. In Table 1, these symmetric and antisymmetric combinations are denoted $\pi_{\mathrm{i}}^{\prime}$ and $\pi_{\mathrm{i}}^{\prime \prime}$, respectively.

The extent of interaction between the metal-based d and f orbitals and the appropriate ring orbitals will be governed by the overlap of these orbitals and by their energetic closeness. The $\mathrm{d}_{0}(\mathrm{~d} \sigma)$ and $\mathrm{f}_{0}(\mathrm{f} \sigma)$ orbitals are directed along the $\mathrm{C}_{7}$ axis, but are required to interact with the lowest energy $\pi$ orbitals, those derived from $\pi_{0}$. These orbitals are expected to be significantly lower in energy than the An orbitals, leading to only a weak interaction. The $A n d_{ \pm 1}(d \pi), f_{ \pm 1}(f \pi)$, and $f_{ \pm 2}$ (f $\delta$ ) AOs have lobes that are directed at the $\mathrm{Ch} \pi_{1}$ and $\pi_{2}$ MOs. The $\mathrm{f}_{ \pm 3}(\mathrm{f} \phi)$ orbitals will suffer from poor overlap with the energetically-high $\mathrm{Ch} \pi_{3}$ orbitals, and should therefore also interact only weakly. The $\mathrm{d}_{ \pm 2}(\mathrm{~d} \delta)$, although lying in the $x y$ plane, are expected to be diffuse enough to interact substantially with the energetically-favorable $\mathrm{Ch} \pi_{2}$ orbitals. Thus, we expect the greatest interaction to involve the d and f orbitals with $m_{l}$ $= \pm 1$ or $\pm 2$, as shown in Figure 3 .

Orbital Energetics in $\mathbf{U C h}_{2}$. To illustrate the general features of the bonding between an actinide atom and two $\mathrm{C}_{7} \mathrm{H}_{7}$ ligands, we present results for a prototypical 20-electron complex, $\mathrm{UCh}_{2}$. We have chosen neutral $\mathrm{UCh}_{2}$ rather than the known $\mathrm{UCh}_{2}^{-}$anion because the former can exist in a closedshell ( ${ }^{1} \mathrm{~A}_{1}$ ) state; further, this choice avoids the complexity caused by electron occupations among nearly degenerate 5 f orbitals (vide infra). The correlation diagram for the interaction



$f_{z\left(x^{2}-y^{2}\right)}$

$3 \mathbf{e}_{2}{ }^{\prime \prime}$


Figure 3. Sketches of the dominant bonding interactions between $\mathrm{C}_{7} \mathrm{H}_{7}$ and the appropriate An d and f orbitals in $\mathrm{AnCh}_{2}$.


Figure 4. Correlation diagram for the interaction of the orbitals of U and two $\mathrm{C}_{7} \mathrm{H}_{7}$ ligands under $D_{7 h}$ symmetry. $\mathrm{U}^{\mathrm{NR}}$ and $\mathrm{U}^{\mathrm{R}}$ indicate atomic orbital energies at the nonrelativistic and relativistic levels, respectively. The results for $\mathrm{UCh}_{2}$ include realtivistic corrections.
of the orbitals of U and two $\mathrm{C}_{7} \mathrm{H}_{7}$ ligands under $D_{7 h}$ symmetry is depicted in Figure 4. The orbital energies used to construct this figure are taken from $\mathrm{BP}^{\mathrm{NR}}$ and $\mathrm{BP}^{\mathrm{R}}$ calculations of the U atom, and $\mathrm{BP}^{\mathrm{R}}$ calculations for the $\mathrm{Ch}, \mathrm{Ch}_{2}$, and $\mathrm{UCh}_{2}$ species fixed at the experimental geometry of $\mathrm{UCh}_{2}{ }^{-}$.

Figure 4 makes evident some of the challenges in calculations on $\mathrm{AnCh}_{2}$ complexes. First, we note that the one-electron relativistic effects serve to destabilize both the An 5f and 6d AOs, the former more than the latter. For U and other early actinides, these effects cause the 5 f and 6 d orbitals to be in close energetic proximity, allowing both to participate significantly in bonding. Second, unlike the corresponding MOs in $\mathrm{Cp}, \mathrm{C}_{6} \mathrm{H}_{6}$, and COT, the $\mathrm{e}_{2}{ }^{\prime \prime}\left(\pi_{2}\right) \mathrm{MO}$ of the Ch ring, due to its
weakly antibonding nature, is at nearly the same energy as the valence orbitals on U . This energetic closeness is expected to enhance the interaction between the $\mathrm{Ch} \pi_{2} \mathrm{MOs}$ and the actinide AOs.

As anticipated from the above discussion, the most important metal-ligand interactions in $\mathrm{UCh}_{2}$ are between the $6 \mathrm{~d} \delta$ and $6 \mathrm{f} \delta$ and the appropriate $\mathrm{Ch} \pi_{2}$ group orbitals. The $6 \mathrm{~d} \delta \mathrm{AOs}$ interact with the $\pi_{2}{ }^{\prime}$ orbital to produce the $3 \mathrm{e}_{2}{ }^{\prime} \mathrm{MO}$ of $\mathrm{UCh}_{2}$, and the $6 \mathrm{f} \delta$ AOs interact with the $\pi_{2}{ }^{\prime \prime}$ orbital, yielding the $3 \mathrm{e}_{2}{ }^{\prime \prime}$ MO. These MOs involve substantial mixing between the U and Ch orbitals, much as they do in the calculations by Kaltsoyannis on transition-metal ChMCp systems. ${ }^{12 \mathrm{c}}$ In the ${ }^{1} \mathrm{~A}_{1}{ }^{\prime}$ state of $\mathrm{UCh}_{2}$, the $3 \mathrm{e}_{2}{ }^{\prime}$ and $3 \mathrm{e}_{2}{ }^{\prime \prime}$ MOs are completely filled, with the $3 \mathrm{e}_{2}{ }^{\prime \prime}$ MO serving as the HOMO of the complex.

Immediately above the $3 \mathrm{e}_{2}{ }^{\prime}$ and $3 \mathrm{e}_{2}{ }^{\prime \prime}$ MOs of $\mathrm{UCh}_{2}$ is a closely-spaced set of empty, primarily 5f-based MOs. We can therefore anticipate that $\mathrm{UCh}_{2}$ and other $\mathrm{AnCh}_{2}$ systems will have a high density of electronic states close to the ground state. Therefore, to determine the ground electron distribution in these actinide compounds, it is necessary to calculate the energies of a large number of different electron configurations. We will now address the ground configurations of some of these complexes before discussing their calculated geometries.

Ground Configurations. A complete discussion of the ground states of $\mathrm{AnCh}_{2}$ complexes requires a double-group treatment involving spin-orbit coupling. The inclusion of spin-orbit effects will be particularly important for assigning optical transitions in these systems. We are presently exploring this double-group description of the ground states, and we will not present any of those results here. Rather, we shall examine some of the trends in low-lying electron configurations as a function of actinide metal, discussed in a single-group framework with the inclusion of scalar relativistic corrections. ${ }^{32}$

Our discussion of configurations will focus on the 20 e systems, such as $\mathrm{UCh}_{2}$, and the 21e systems, of which the known system $\mathrm{UCh}_{2}{ }^{-}$is an example. Most of the configuration energies reported here are at the $\mathrm{BP}^{\mathrm{R}}$ optimized geometry of the complex in question. The optimized geometries will be discussed in the next section.

The isoelectronic 20 e systems that we have examined are $\mathrm{ThCh}_{2}{ }^{2-}, \mathrm{PaCh}_{2}{ }^{-}, \mathrm{UCh}_{2}$, and $\mathrm{NpCh}_{2}{ }^{+}$. As noted in the MO diagram for $\mathrm{UCh}_{2}$ in Figure 4, these systems have the correct number of electrons to fill completely the $3 \mathrm{e}_{2}{ }^{\prime}$ and $3 \mathrm{e}_{2}{ }^{\prime \prime}$ MOs. These MOs represent strong bonding interactions between the $\pi_{2}$ orbitals of the Ch rings and the An 6d and 5 f AOs, respectively, and for these systems, the $3 \mathrm{e}_{2}{ }^{\prime} \mathrm{MO}$ is somewhat lower in energy than the $3 \mathrm{e}_{2}{ }^{\prime \prime}$ MO. We will represent the closed-shell configuration in which the $3 \mathrm{e}_{2}{ }^{\prime}$ and $3 \mathrm{e}_{2}{ }^{\prime \prime}$ MOs are completely filled as the $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}$ configuration.

On the basis of Figure 4, the lowest open-shell configurations are expected to involve the transfer of an electron from the $3 \mathrm{e}_{2}{ }^{\prime \prime}$ MO to the low-lying An 5f- or 6d-localized MOs that are immediately above the $3 \mathrm{e}_{2}{ }^{\prime \prime}$ MO. For Th, the 6d AOs are lower in energy than the 5 f AOs ; however, as we progress from Th to the later actinide elements, the energies of the 6 d orbitals increase slightly, while those of the 5 f orbitals markedly decrease. ${ }^{33,34}$ We have therefore considered some of the states

[^2]Table 2. Restricted Average-of-Configuration $B P^{R}$ Relative Energies ( eV ) at the Optimized Geometries for the Low-Lying Outer Electron Configurations of 20e and 21e Isoelectronic Series of $\mathrm{AnCh}_{2}{ }^{q}$ Complexes

derived from the $\left.\left(\mathrm{e}_{2}{ }^{\prime}\right)^{4}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{3} \mathrm{f}^{1},\left(\mathrm{e}_{2}\right)^{3}\right)^{3}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4} \mathrm{f}^{1},\left(\mathrm{e}_{2}{ }^{\prime}\right)^{4}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{3} \mathrm{~d}^{1}$, and $\left.\left(e_{2}\right)^{\prime}\right)^{3}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{4} \mathrm{~d}^{1}$ open-shell configurations of the 20 e systems. In accord with Figure 4, the lowest energy in the open-shell configurations is obtained when the 5 f - or 6 d -localized electron is placed in the $5 \mathrm{a}_{2}{ }^{\prime \prime}\left(\mathrm{f}_{0}\right)$ or $5 \mathrm{a}_{1}{ }^{\prime}\left(\mathrm{d}_{0}\right)$ MO relative to other 5 f and 6d-localized MOs.

The relative $B P^{R}$ energies of the states of the $20 \mathrm{e} \mathrm{AnCh}_{2}$ systems are given in Table 2. The closed-shell $\left(\mathrm{e}_{2}{ }^{\prime}\right)^{4}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{4}$ configuration is the lowest one for $\mathrm{ThCh}_{2}{ }^{2-}, \mathrm{PaCh}_{2}{ }^{-}$, and $\mathrm{UCh}_{2}$. As expected, the $\left(\mathrm{e}_{2}{ }^{\prime}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{3}\left(\mathrm{~d}_{0}\right)^{1}$ and $\left(\mathrm{e}_{2}{ }^{\prime}\right)^{3}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{~d}_{0}\right)^{1}$ configurations generally increase in energy relative to the ground configuration as we proceed from Th through Np , which reflects the increasing energy of the An 6d AOs. At the same time, the ... $\left(\mathrm{f}_{0}\right)^{1}$ configurations become lower in energy as the 5 f AOs drop in energy. For $\mathrm{NpCh}_{2}{ }^{+}$, the energy of the Np 5 f has become low enough that we predict an $\mathrm{E}_{2}^{\prime \prime}$ ground state derived from the $\left(e_{2}\right)^{3}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}$ open-shell configuration. ${ }^{35}$ It is interesting that, for $\mathrm{NpCh}_{2}{ }^{+}$, the $\left(\mathrm{e}_{2}{ }^{\prime}\right)^{3}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}$ configuration leads to a lower energy than does the $\left(\mathrm{e}_{2}{ }^{\prime}\right)^{4}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{3}\left(\mathrm{f}_{0}\right)^{1}$ configuration; for this system, the 5 f orbitals are sufficiently lower than the 6 d orbitals to make it more favorable to depopulate the $\mathrm{e}_{2}{ }^{\prime}$ rather than the $\mathrm{e}_{2}{ }^{\prime \prime} \mathrm{MO}$.

For the 21e systems, we will start with the known anion $\mathrm{UCh}_{2}{ }^{-}$. On the basis of the MO diagram in Figure 4, we expect the lowest-energy configurations to be those in which the $3 \mathrm{e}_{2}{ }^{\prime}$ and $3 \mathrm{e}_{2}{ }^{\prime \prime}$ MOs are completely filled, with the remaining electron residing in one of the U 5 f - or 6 d -localized orbitals. We examined this anion at its experimental geometry, at stateoptimized geometries, and as eclipsed $\left(D_{7 h}\right)$ and staggered $\left(D_{7 d}\right)$ rotamers. We will discuss the relative energies of the rotamers in the next section; for now, we will discuss the $D_{7 h}$ results.

[^3]Table 3. Relative Energies (eV) for Nine Low-Lying Outer Electron Configurations of $\mathrm{UCh}_{2}{ }^{-}$under Eclipsed ( $D_{7 h}$ ) and Staggered $\left(D_{7 d}\right)$ Geometries ${ }^{a}$

| configuration ${ }^{b}$ | $D_{7 h}$ |  |  | $D_{7 d}$ |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $L^{\text {di }}{ }^{\text {NR }}$ | LDA ${ }^{\text {R }}$ | $B^{\text {P }}$ | $L^{\text {di }}{ }^{\text {NR }}$ | $L^{\text {L }}{ }^{\text {R }}$ | $\mathrm{BP}^{\text {R }}$ |
| $\left(\mathrm{e}_{2}{ }^{\prime}\right)^{4}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}$ | 0 | 0 | 0 | -0.030 | -0.033 | -0.030 |
| $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{ \pm 1}\right)^{1}$ | 0.56 | 0.44 | 0.51 | 0.53 | 0.42 | 0.49 |
| $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | 0.49 | 0.47 | 0.54 | 0.47 | 0.45 | 0.52 |
| $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{~d}_{0}\right)^{1}$ | 3.21 | 1.34 | 1.46 | 3.19 | 1.31 | 1.44 |
| $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{3}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | 0.92 | 1.83 | 1.86 | 0.89 | 1.81 | 1.84 |
| $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{3}\left(\mathrm{f}_{0}\right)^{2}$ | 1.16 | 1.97 | 1.88 | 1.12 | 1.93 | 1.84 |
| $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{ \pm 2}\right)^{1}$ | 2.34 | 1.88 | 1.90 | 2.31 | 1.86 | 1.87 |
| $\left(\mathrm{e}_{2}^{\prime}\right)^{3}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | 1.02 | 2.47 | 2.46 | 0.99 | 2.43 | 2.43 |
| $\left(\mathrm{e}_{2}^{\prime}\right)^{3}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{2}$ | 1.29 | 2.65 | 2.53 | 1.25 | 2.60 | 2.49 |

${ }^{a}$ All calculations were carried out by using the experimental $\mathrm{U}-\mathrm{C}$ $(2.53 \AA)$ and $\mathrm{C}-\mathrm{C}(1.37 \AA)$ bond lengths. ${ }^{b}$ Under $D_{7 d}$ symmetry, the $\mathrm{e}_{2}^{\prime}$ and $\mathrm{e}_{2}^{\prime \prime}$ orbitals transform as $\mathrm{e}_{2 \mathrm{~g}}$ and $\mathrm{e}_{2 \mathrm{u}}$, respectively.


Figure 5. The eclipsed-rotamer energies for different electronic states of $\mathrm{UCh}_{2}{ }^{-}$at various levels of calculation. The $\mathrm{BP}^{\mathrm{R}}$ energies have all been made more negative by 15 eV for convenience of display.

The LDA ${ }^{N R}$, LDA $^{R}$, and $B^{R}$ energies of the various configurations, using the experimental bond lengths, are presented in Table 3 for both eclipsed and staggered rotamers. The eclipsedrotamer energies at the various levels of calculation are plotted in Figure 5 for those configurations that lead to unambiguous doublet states; we have excluded the multiple open-shell configurations $\left(\mathrm{e}_{2}{ }^{\prime}\right)^{3}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ and $\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{3}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{1}$.

Several features of the plots in Figure 5 are notable. First, the inclusion of relativistic effects drastically changes the ordering of the states, largely because of differential effects on the 5 f and 6 d AOs of the U atom. The two relativistic methods give very comparable relative energies. We see that all three levels of calculation predict a ${ }^{2} \mathrm{~A}_{2}$ " ground state that corresponds to the $\left(\mathrm{e}_{2}{ }^{\prime}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}$ electron configuration, which is predicted on the basis of the MO diagram in Figure 4. The two lowestlying excited states, which are nearly degenerate, are the ${ }^{2} \mathrm{E}_{3}{ }^{\prime}$ and ${ }^{2} E_{1}^{\prime}$ states that also correspond to $\left(e_{2}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}(\mathrm{f})^{1}$ configurations involving the nonbonding $f_{ \pm 3}$ and $f_{ \pm 1}$ orbitals. The next two states, $1^{2} \mathrm{E}_{2}{ }^{\prime \prime}$ and $2^{2} \mathrm{E}_{2}{ }^{\prime \prime}$, best correspond to the $\left(\mathrm{e}_{2}{ }^{\prime}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{3}$ $\left(\mathrm{f}_{0}\right)^{2}$ and $\left(\mathrm{e}_{2}{ }^{\prime}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(4 \mathrm{e}_{2}{ }^{\prime \prime}\right)^{1}$ configurations, respectively. These states are close in energy, indicating that the creation of a hole in the $3 \mathrm{e}_{2}{ }^{\prime \prime}$ by pairing the electron in the $\mathrm{f}_{0}$ orbital requires about the same energy as placing the last electron in the An-Ch antibonding $4 \mathrm{e}_{2}{ }^{\prime \prime}$ MO. The highest energy state shown in Figure 5, the ${ }^{2} \mathrm{E}_{2}^{\prime}$, corresponds to the $\left(\mathrm{e}_{2}{ }^{\prime}\right)^{3}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{2}$ configuration. Clearly, the production of a hole in the bonding $\mathrm{e}_{2}{ }^{\prime} \mathrm{MO}$ requires greater energy than that for the $\mathrm{e}_{2}{ }^{\prime \prime}$ MO. The picture that arises from these configuration energies is satisfyingly in accord with the one-electron energy levels of the anion.

Table 2 also presents the energies for selected configurations in the isoelectronic 21e series $\mathrm{PaCh}_{2}{ }^{2-}, \mathrm{UCh}_{2}{ }^{-}, \mathrm{NpCh}_{2}$, and
$\mathrm{PuCh}_{2}{ }^{+}$. For the $\mathrm{Pa}, \mathrm{U}$, and Np systems, the ground state is the ${ }^{2} \mathrm{~A}_{2}$ " state that corresponds to the "expected" $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{4}$ $\left(\mathrm{f}_{0}\right)^{1}$ configuration. The configurations in which one of the $\mathrm{e}_{2}{ }^{\prime \prime}$ or $\mathrm{e}_{2}{ }^{\prime}$ electrons is transferred to the $\mathrm{f}_{ \pm 3}$ orbital become progressively lower in energy as we proceed from Pa through Pu , again reflecting the steady drop in the An $5 f$ orbitals. For $\mathrm{PuCh}_{2}{ }^{+}$we find that the ground configuration is $\left(\mathrm{e}_{2}{ }^{\prime}\right)^{3}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{4}-$ $\left(f_{0}\right)^{1}\left(f_{ \pm 3}\right)^{1}$, i.e. one in which an $e_{2}{ }^{\prime}$ electron is transferred to the $f_{ \pm 3}$ orbitals. This situation is entirely analogous to that which we discussed above for $\mathrm{NpCh}_{2}{ }^{+}$. The production of holes in the ligand orbitals of $\mathrm{NpCh}_{2}{ }^{+}$and $\mathrm{PuCh}_{2}{ }^{+}$is reminiscent of the situation in the endohedral fullerene complexes $\mathrm{An} @ \mathrm{C}_{28}$ (An $=\mathrm{Pa}, \mathrm{U}) .{ }^{36}$

Our calculated RKS ground configurations for the $\mathrm{AnCh}_{2}$ molecules and $\mathrm{AnCh}_{2}{ }^{+}$cations are summarized in Table 4. We will not discuss the remainder of these configurations in detail, in part because several of them involve multiple open shells and will be subjected to large spin-orbit effects. In addition, most of the calculated configurations with a hole in the ligandbased orbitals are less than 1 eV higher in energy than the lowest energy configurations. This observation strongly suggests the necessity of including nondynamic electron correlation in the density functional calculations. The ground states of these compounds will accordingly be a mixture originating from various near-degenerate configurations, ${ }^{37}$ which we are currently unable to handle with the computational codes used. Nevertheless, we will use these ground configurations as a starting point for the discussion of the geometries and bonding in these $\mathrm{AnCh}_{2}$ systems.
$D_{7 h}$ vs $D_{7 d}$ Conformations. Before addressing the complete optimized geometries of the $\mathrm{AnCh}_{2}$ systems, it is instructive to examine the relative rotational orientation of the two $\mathrm{C}_{7} \mathrm{H}_{7}$ rings. We will use the structurally characterized anion $\mathrm{UCh}_{2}{ }^{-}$as an example to discuss the conformation problem. As in the other metallocenes, ${ }^{38}$ the two limiting conformations, namely eclipsed $\left(D_{7 h}\right)$ and staggered $\left(D_{7 d}\right)$, are expected to be nearly degenerate. The rotational preference of the complex can depend on the electronic state. We therefore have calculated the RKS energies of nine low-lying electronic configurations of $\mathrm{UCh}_{2}{ }^{-}$under $D_{7 h}$ and $D_{7 d}$ symmetry at the $\mathrm{LDA}^{\mathrm{NR}}, \mathrm{LDA}^{\mathrm{R}}$, and $\mathrm{BP}^{\mathrm{R}}$ levels by keeping all the geometrical parameters except the rotational angle fixed at the crystallographically determined values. ${ }^{10}$ Because the $\mathrm{C}-\mathrm{H}$ bond length is unavailable from the crystal structure, the $\mathrm{C}-\mathrm{H}$ bond length for benzene, ${ }^{39} 1.084 \AA$, has been adopted in these calculations. In addition, the Ch ligand is assumed to be planar for these rotational calculations. The relative energies are summarized in Table 3. The electron configurations that were considered can be divided into two groups: those in which the metal-ligand bonding MOs are completely filled, leaving a single unpaired electron localized on the $f_{0}, f_{ \pm 1}, f_{ \pm 3}, \mathrm{~d}_{0}$, and $\mathrm{f}_{ \pm 2}$ orbitals of the central metal, and those that have a hole in one of the frontier metal-ligand bonding MOs ( $\mathrm{e}_{2}^{\prime}$ or $\mathrm{e}_{2}{ }^{\prime \prime}$ in $D_{7 h}$; $\mathrm{e}_{2 \mathrm{~g}}$ or $\mathrm{e}_{2 \mathrm{u}}$ in $D_{7 h}$ ).

The results in Table 3 reveal that, regardless of the level of calculation and the choice of electron configuration, the staggered $D_{7 d}$ conformation is lower in energy than the eclipsed

[^4]Table 4. Restricted $\mathrm{BP}^{R}$ Energies (eV) for the Low-Energy Outer Electron Configurations of Neutral $\mathrm{AnCh}_{2}$ and Cationic $\mathrm{AnCh}_{2}{ }^{+} \mathrm{Complexes}$

| molecule | configuration | energy | cation | configuration | energy |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathrm{ThCh}_{2}$ | $\left(\mathrm{e}_{2}{ }^{\prime}\right)^{4}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{2}$ | -174.648 | ThCh ${ }^{+}$ | $\left(\mathrm{e}_{2}{ }^{\prime}\right)^{4}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{1}$ | -168.497 |
| $\mathrm{PaCh}_{2}$ | $\left(\mathrm{e}_{2}^{\prime}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{3}$ | -176.711 | PaCh ${ }^{+}$ | $\left(\mathrm{e}_{2}^{\prime}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{2}$ | -170.028 |
| $\mathrm{UCh}_{2}$ | $\left(\mathrm{e}_{2}^{\prime}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}$ | -176.806 | $\mathrm{UCh}_{2}{ }^{+}$ | $\left(\mathrm{e}_{2}^{\prime}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{3}$ | -169.669 |
| $\mathrm{NpCh}_{2}$ | $\left(\mathrm{e}_{2}{ }^{\prime}\right)^{4}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}$ | -176.290 | $\mathrm{NpCh}_{2}{ }^{+}$ | $\left(\mathrm{e}_{2}\right)^{3}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}$ | -169.273 |
|  | $\left(\mathrm{e}_{2}^{\prime}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{3}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | -175.436 |  | $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}$ | -169.141 |
|  | $\left(\mathrm{e}_{2}\right)^{3}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | -175.289 |  | $\left(\mathrm{e}_{2}{ }^{\prime}\right)^{4}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{3}\left(\mathrm{f}_{0}\right)^{1}$ | -168.992 |
| $\mathrm{PuCh}_{2}$ | $\left(\mathrm{e}_{2}^{\prime}\right)^{4}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | -174.625 | $\mathrm{PuCh}_{2}{ }^{+}$ | $\left(\mathrm{e}_{2}^{\prime}\right)^{3}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | -167.750 |
|  | $\left(\mathrm{e}_{2}^{\prime}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{\prime \prime}$ | -174.616 |  | $\left(\mathrm{e}_{2}^{\prime}\right)^{3}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{2}$ | $-167.680$ |
|  | $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{3}\left(\mathrm{f}_{0}\right)^{2}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | -174.322 |  | $\left(\mathrm{e}_{2}^{\prime}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}$ | -167.439 |
|  | $\left(\mathrm{e}_{2}^{\prime}\right)^{3}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{2}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | -174.310 |  | $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{3}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | -167.200 |
|  | $\left(\mathrm{e}_{2}^{\prime}\right)^{3}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{2}$ | -173.380 |  | $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{3}\left(\mathrm{f}_{0}\right)^{2}$ | -167.147 |
|  | $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{3}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{2}$ | -173.332 |  |  |  |
| $\mathrm{AmCh}_{2}$ | $\left(\mathrm{e}_{2}^{\prime}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{2}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | -174.050 | $\mathrm{AmCh}_{2}{ }^{+}$ |  |  |
|  | $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{2}$ | -172.957 |  | $\left(\mathrm{e}_{2}^{\prime}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{3}\left(\mathrm{f}_{0}\right)^{2}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | -166.450 |
|  | $\left(\mathrm{e}_{2}\right)^{3}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{2}\left(\mathrm{f}_{ \pm 3}\right)^{1}\left(\mathrm{f}_{ \pm 1}\right)^{1}$ | -172.613 |  | $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{3}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{2}$ | -166.413 |
|  | $\left(\mathrm{e}_{2}\right)^{3}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{2}\left(\mathrm{f}_{ \pm 1}\right)^{1}$ | -172.538 |  | $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | -166.227 |
|  | $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{3}\left(\mathrm{f}_{0}\right)^{2}\left(\mathrm{f}_{ \pm 3}\right)^{1}\left(\mathrm{f}_{ \pm 1}\right)^{1}$ | -172.537 |  | $\left(\mathrm{e}_{2}\right)^{3}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{2}$ | -166.184 |
|  | $\left(\mathrm{e}_{2}^{\prime}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{3}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{2}\left(\mathrm{f}_{ \pm 1}\right)^{1}$ | -172.413 |  | $\left(\mathrm{e}_{2}^{\prime}\right)^{4}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{2}$ | -166.167 |

$D_{7 h}$ conformation. The energy difference between the two conformations ( 0.5 to $1.0 \mathrm{kcal} / \mathrm{mol}$ based on the $\mathrm{BP}^{\mathrm{R}}$ results) is remarkably invariant to the choice of electron configuration, suggesting that the energy difference is largely due to steric interactions between the two Ch rings. Because the calculated energy difference between the rotamers is very small, regardless of configuration, it is expected that the complex would exhibit virtually free rotation of the Ch rings at ambient temperatures.

These results are in agreement with the staggered geometry of this anion observed in the crystal structure, ${ }^{10}$ although a lower $C_{2 h}$ symmetry was found in the solid state, which is likely due to crystalline packing forces. Moreover, the calculated groundstate rotation barrier of ca. $0.6 \mathrm{kcal} / \mathrm{mol}$ is similar to that observed for other sandwich compounds. ${ }^{40}$ Although the calculated barrier is small relative to the total energies of the systems, we believe it to be reliable because of the structural similarity of eclipsed and staggered rotamers. To check the validity of the current DFT method in assessing rotation potential barriers for cyclopolyene and cyclopolyenyl sandwich compounds, we have also calculated the energies of the eclipsed and staggered conformers of $\mathrm{Ni}\left(\mathrm{C}_{4} \mathrm{H}_{4}\right)_{2}, \mathrm{Fe}\left(\mathrm{C}_{5} \mathrm{H}_{5}\right)_{2}, \mathrm{Cr}\left(\mathrm{C}_{6} \mathrm{H}_{6}\right)_{2}$, and $\mathrm{U}\left(\mathrm{C}_{8} \mathrm{H}_{8}\right)_{2}$. We find that the $D_{n h}$ conformers are more stable than the $D_{n d}$ ones by $0.85,0.60$, and $0.19 \mathrm{kcal} / \mathrm{mol}$ for $n=5$, 6 , and 8 at the $\mathrm{BP}^{\mathrm{R}}$ level, ${ }^{41}$ in good accord with the experimental findings. ${ }^{42-44}$ On the other hand, the staggered conformer for $\mathrm{Ni}\left(\mathrm{C}_{4} \mathrm{H}_{4}\right)_{2}$ is found to be $0.16 \mathrm{kcal} / \mathrm{mol}$ more stable than the eclipsed one, as we have found for $\mathrm{UCh}_{2}{ }^{-}$. We therefore have
(40) The barrier to internal rotation of the Cp rings in $\mathrm{FeCp}_{2}$ is $0.9 \pm$ $0.3 \mathrm{kcal} / \mathrm{mol}$. See: (a) Haaland, A.; Nilsson, J. E. Acta Chem. Scand. 1968, 22, 2653. (b) Laane, J. J. Coord. Chem. 1971, 1, 75. (c) Carter, S.; Murrell, J. N. J. Organomet. Chem. 1980, 192, 399. (d) Bencivenni, L.; Ferro, D.; Pelino, M.; Teghil, R. J. Indian Chem. Soc. 1980, 57, 1062. (e) Marverick, E.; Dunitz, J. D. Mol. Phys. 1987, 62, 451.
(41) Li, J.; Bursten, B. E. Unpublished results.
(42) Although earlier X-ray experiments revealed that the $D_{5 d}$ conformer of $\mathrm{Fe}\left(\mathrm{C}_{5} \mathrm{H}_{5}\right)_{2}$ was more stable in the solid state, the $D_{5 h}$ conformer has been found to be more stable in the gas phase by electron diffraction and in the solid state by X-ray or neutron diffraction. See: (a) Dunitz, J. D.; Orgel, L. E.; Rich, A. Acta Crystallogr. 1956, 9, 373 and references cited therein. (b) Bohn, R. K.; Haaland, A. J. Organomet. Chem. 1966, 5, 470. (c) Seiler, P.; Dunitz, J. D. Acta Crystallogr. 1979, B35, 1068. (d) Seiler, P.; Dunitz, J. D. Acta Crystallogr. 1982, B38, 1741. (e) Takusagawa, F.; Koetzle, T. F. Acta Crystallogr. 1979, B35, 1074.
(43) As shown by X-ray and electron diffraction experiments, the $D_{6 h}$ conformer of $\mathrm{Cr}\left(\mathrm{C}_{6} \mathrm{H}_{6}\right)_{2}$ is more stable in both the crystalline and gas phases. See: (a) Cotton, F. A.; Dollase, W. A.; Wood, J. S. J. Am. Chem. Soc. 1963, 85, 1543. (b) Haaland, A. Acta Chem Scand. 1965, 19, 41. (c) Keullen, E.; Jellinck, F. J. Organomet. Chem. 1966, 5, 490. (d) Schaefer, L.; Southern, J. F.; Cyvin, S. J.; Brunvoll, J. J. Organomet. Chem. 1970, 24, 913.
(44) In the solid state, the $D_{8 h}$ conformer of $\mathrm{U}\left(\mathrm{C}_{8} \mathrm{H}_{8}\right)_{2}$ is found to be more stable: (a) Zalkin, A.; Raymond, K. N. J. Am. Chem. Soc. 1969, 91, 5667. (b) Avdeef, A.; Raymond, K. N.; Hodgson, K. O.; Zalkin, A. Inorg. Chem. 1972, 11, 1083.
good confidence in the applicability of the same method to the cycloheptatrienyl sandwich compounds.

Although the staggered conformer is the more stable one, the rotation barrier is very small. Because the $D_{7 h}$ and $D_{7 d}$ point groups are isomorphic, and because the calculations are somewhat easier to carry out in $D_{7 h}$ symmetry, all other calculations and the following discussion focus only on the conformations of $D_{7 h}$ symmetry. The bonding pictures are essentially the same for the staggered and the eclipsed conformers, although the labels of irreducible representations differ slightly.

Geometries. The prediction of reasonable geometries is one of the most common criteria used to judge the applicability of electronic structure methods. The correct calculation of the geometries of sandwich compounds has proven difficult for many methodologies. For example, the determination of the metal-carbon distance in ferrocene has been a notorious challenge for ab initio methods. ${ }^{45}$ The calculation of the metric parameters in the $\mathrm{AnCh}_{2}$ systems promises to be an even more challenging problem owing to greater dynamic and non-dynamic electron correlation effects, the presence of significant scalar and spin-orbit relativistic effects, and the need for more demanding basis sets.

Under the constraint of $D_{7 h}$ symmetry, $\mathrm{AnCh}_{2}$ complexes have four geometric degrees of freedom: The An-C distance (or, equivalently, the An $-X$ distance, where $X$ is the centroid of the $\mathrm{C}_{7}$ ring), the $\mathrm{C}-\mathrm{C}$ distance, the $\mathrm{C}-\mathrm{H}$ distance, and the $\angle \mathrm{H}-$ $\mathrm{C}-\mathrm{X}$ angle, which indicates how much the H atoms are out of the $\mathrm{C}_{7}$ plane. To assess the effects of electron configuration and level of theory, we have calculated the optimized geometry of $\mathrm{UCh}_{2}{ }^{-}$by using the $\mathrm{LDA}^{\mathrm{NR}}, \mathrm{LDA}^{\mathrm{R}}, \mathrm{BP}^{\mathrm{R}}$ (RKS), and BPR (UKS) approaches. The calculated metric parameters and the corresponding experimental values are listed in Table 5.

Several trends are apparent in Table 5. First, at each level of calculation, the lowest energy is achieved in the $\left(3 \mathrm{e}_{2}\right)^{\prime}\left(3 \mathrm{e}_{2}^{\prime \prime}\right)^{4}$ $\left(5 \mathrm{a}_{2}{ }^{\prime \prime}\right)^{1}$ configuration, that is, the "extra" electron occupies the U-based orbital that is predominantly $5 f_{0}$ in character. Second, there are no significant differences between the bond lengths optimized for different configurations, except for those having a hole in the ligand-based $3 \mathrm{e}_{2}{ }^{\prime}$ and $3 \mathrm{e}_{2}{ }^{\prime \prime}$ MOs. As discussed earlier, those MOs encompass strong $\mathrm{U}-\mathrm{C}$ bonding interactions, so it is not surprising that the $\mathrm{U}-\mathrm{C}$ distances lengthen when an electron is removed from them. Third, we note that the inclusion of the scalar relativistic corrections shrinks the $\mathrm{U}-\mathrm{C}$

[^5]Table 5. Restricted $L D A^{N R}, L D A^{R}$, and $B P^{R}$ and Unrestricted $B P^{R}$ Optimized Bond Distances (Å), Angles (deg), and Corresponding Energies (eV) for Several Low-Lying Outer Electron Configurations of $\mathrm{UCh}_{2}^{-}$in Its Eclipsed $\left(D_{7 h}\right)$ Conformation

| configuration | $\mathrm{U}-\mathrm{X}^{a}$ | $\mathrm{U}-\mathrm{C}$ | $\mathrm{C}-\mathrm{C}$ | $\mathrm{C}-\mathrm{H}$ | $\angle \mathrm{HCX}^{a}$ | $E$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathrm{LDA}^{\text {NR }}$ (RKS) |  |  |  |  |  |  |
| $\left(\mathrm{e}_{2}{ }^{\prime}\right)^{4}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}$ | 2.173 | 2.717 | 1.416 | 1.098 | 177.2 | -195.093 |
| $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{ \pm 1}\right)^{1}$ | 2.181 | 2.725 | 1.417 | 1.097 | 177.7 | -194.601 |
| $\left(\mathrm{e}_{2}^{\prime}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | 2.172 | 2.718 | 1.418 | 1.097 | 176.9 | -194.564 |
| $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{3}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | 2.225 | 2.759 | 1.416 | 1.098 | 178.2 | -194.450 |
| $\left(\mathrm{e}_{2}\right)^{3}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | 2.244 | 2.774 | 1.415 | 1.098 | 178.0 | -194.467 |
| $\mathrm{LDA}^{\mathrm{R}}$ (RKS) |  |  |  |  |  |  |
| $\left(\mathrm{e}_{2}{ }^{\prime}\right)^{4}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}$ | 2.002 | 2.586 | 1.420 | 1.098 | 175.1 | -194.353 |
| $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{ \pm 1}\right)^{1}$ | 2.008 | 2.592 | 1.423 | 1.097 | 176.0 | -193.938 |
| $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | 1.991 | 2.580 | 1.424 | 1.097 | 174.8 | -193.904 |
| $\left(\mathrm{e}_{2}{ }^{\prime}\right)^{4}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{3}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | 2.045 | 2.620 | 1.422 | 1.098 | 176.9 | -192.576 |
| $\left(\mathrm{e}_{2}^{\prime}\right)^{3}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | 2.066 | 2.637 | 1.421 | 1.098 | 176.6 | -191.970 |
| $\mathrm{BP}^{\mathrm{R}}$ (RKS) |  |  |  |  |  |  |
| $\left(\mathrm{e}_{2}{ }^{\prime}\right)^{4}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}$ | 2.051 | 2.634 | 1.434 | 1.095 | 176.0 | -178.380 |
| $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{3}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | 2.105 | 2.677 | 1.435 | 1.095 | 177.6 | -176.709 |
| $\left(\mathrm{e}_{2}\right)^{3}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | 2.128 | 2.695 | 1.435 | 1.095 | 177.5 | -176.178 |
| BP ${ }^{\text {R }}$ (UKS) |  |  |  |  |  |  |
| $\left(\mathrm{e}_{2}{ }^{\prime}\right)^{4}\left(\mathrm{e}_{2}{ }^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}$ | 2.054 | 2.636 | 1.434 | 1.094 | 176.0 | -178.729 |
| $\left(\mathrm{e}_{2}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{3}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | 2.114 | 2.684 | 1.435 | 1.094 | 177.6 | -177.557 |
| $\left(\mathrm{e}_{2}\right)^{3}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{4}\left(\mathrm{f}_{0}\right)^{1}\left(\mathrm{f}_{ \pm 3}\right)^{1}$ | 2.134 | 2.699 | 1.435 | 1.095 | 177.3 | -176.994 |
|  | 1.98(2) | Experim $2.53(2)$ | $\begin{aligned} & \text { nental }^{b} \\ & 1.37(7) \end{aligned}$ | [1.084] |  |  |

${ }^{a} \mathrm{X}$ represents the centroid of the $\mathrm{C}_{7}$ ring. ${ }^{b}$ From ref 10 . Values in parentheses are crystallographic esd's. Value in brackets is assumed.
distances by more than $0.1 \AA$. This observation reflects the fact that relativity has reduced the kinetic energy of the bonding electrons, ${ }^{46}$ thereby strengthening the $\mathrm{U}-\mathrm{Ch}$ bonding and shortening the $\mathrm{U}-\mathrm{Ch}$ distances. In addition, the semicore 6 s and $6 p$ orbitals are radially contracted and significantly lowered in energy upon the inclusion of relativistic effects. The Pauli repulsions between the uranium semicore electrons and the core electrons of the carbon atoms in $\mathrm{C}_{7} \mathrm{H}_{7}$ rings are accordingly reduced, which also serves to reduce the $\mathrm{U}-\mathrm{C}$ distances. Finally, the $\mathrm{U}-\mathrm{C}$ bond lengths optimized with the gradientcorrected exchange functionals ( $\mathrm{BP}^{\mathrm{R}}$ ) are all ca. $0.05 \AA$ longer than the corresponding $\mathrm{LDA}^{\mathrm{R}}$ distances.

The results in Table 5 are consistent with previous DFT studies of the geometries of molecules. It has been found that, for lighter molecules, various density functional approaches usually overestimate bond lengths by $0.02-0.04 \AA$, especially with the gradient-corrected exchange functionals. ${ }^{47,48}$ For heavier molecules, however, LDA $^{\mathrm{R}}$ calculations on some lanthanide oxide compounds indicate that the bond distances are underestimated by $0.05 \AA$, whereas the calculations including Becke's exchange correction result in an expansion of bond lengths, ${ }^{49}$ as noted above. We also note that the bond distances and angle optimized by $\mathrm{BP}^{\mathrm{R}}$ (RKS) and $\mathrm{BP}^{\mathrm{R}}$ (UKS) methods are virtually the same, implying that the spin-polarization effect on the geometry is probably negligible. The $\mathrm{U}-\mathrm{C}$ distances optimized by using the $\mathrm{LDA}^{\mathrm{R}}, \mathrm{BP}^{\mathrm{R}}$ (RKS), and $\mathrm{BP}^{\mathrm{R}}$ (UKS) approaches are all somewhat longer than the crystallographic value. This discrepancy can be attributed to several factors,
(46) Ziegler, T.; Snijders, J. G.; Baerends, E. J. In The Challenge of d and f Electrons: Theory and Computation; Salahub, D. R., Zerner, M. C., Eds.; American Chemical Society: Washington, DC, 1989. The relativistic contraction of bond distances has also been rationalized in terms of the attractive Hellmann-Feynman force arising from the relativistic change in electron density: Schwarz, W. H. E.; Chu, S. Y.; Mark, F. Mol. Phys. 1983, 50, 603.
(47) Johnson, B. G.; Gill, P. M. W.; Pople, J. A. J. Chem Phys. 1993, 98, 5612.
(48) Handy, N. C. In Lecture Notes in Quantum Chemistry II; Roos, B. O., Ed.; Springer-Verlag: Berlin, 1994.
(49) Wang, S. G.; Pan, D. K.; Schwarz, W. H. E. J. Chem. Phys. 1995, 102, 9296.
including the effects of crystal packing and the counterions in the crystal, ${ }^{50}$ as well as the omission of spin-orbit coupling, non-dynamic electron correlation, and high angular momentum polarization functions in the basis sets during the geometry optimizations. Although the calculated bond distances deviate slightly from the experimental ones, we expect that comparative calculations across a series of systems will provide meaningful trends in the changes in bond distances. These calculations have been carried out predominantly at the $\mathrm{BP}^{\mathrm{R}}$ level of calculation.

We have optimized the geometries at the $\mathrm{BP}^{\mathrm{R}}$ level for the ground states of $\mathrm{AnCh}_{2}{ }^{q}$ compounds ( $\mathrm{An}=\mathrm{Th}-\mathrm{Am} ; q=2-$, $1-, 0,1+$ ); a complete listing of the calculated geometries is available in the Supporting Information. For the most part, the geometries vary little as the charge is changed; apparently the addition or removal of an electron has only subtle effects on the overall geometries of the complexes. There is a general, albeit not monotonic, decrease in $\mathrm{An}-\mathrm{C}$ bond length with increasing atomic number, which is a manifestation of the socalled "actinide contraction". ${ }^{51}$ Recall that the $\mathrm{U}-\mathrm{C}$ bond length optimized at the ${B P^{R}}^{R}$ level is about $0.1 \AA$ longer than the crystalline experimental bond lengths for $\mathrm{UCh}_{2}{ }^{-}$. If we assume that the same deviation occurs for the other Ch sandwich compounds, we predict the experimental $\mathrm{Th}-\mathrm{C}$ and $\mathrm{Pa}-\mathrm{C}$ distances to be 2.66 and $2.55 \AA$, while the $\mathrm{U}-\mathrm{C}, \mathrm{Np}-\mathrm{C}, \mathrm{Pu}-$ C , and $\mathrm{Am}-\mathrm{C}$ distances should all be ca. $2.53 \AA$.

In all of the optimized structures, the H atoms are tipped slightly out of the $\mathrm{C}_{7}$ plane, a phenomenon also observed for ferrocene. Neutron diffraction experiments indicate that the H atoms in the $\mathrm{C}_{5} \mathrm{H}_{5}$ ring of $\mathrm{FeCp}_{2}$ are bent out of the carbon ring plane toward the metal by $1.6^{\circ} \pm 0.4 .^{42 e, 52}$ In our $\mathrm{BP}^{\mathrm{R}}$ geometry optimizations, the $\mathrm{C}-\mathrm{H}$ bonds in the $\mathrm{UCh}_{2}{ }^{-}$and $\mathrm{FeCp}_{2}$ molecules are found to be bent by about $4^{\circ}$ and $0.7^{\circ}$, respectively, toward the metal atom. The larger $\mathrm{C}-\mathrm{H}$ bending angle for $\mathrm{UCh}_{2}{ }^{-}$than for $\mathrm{FeCp}_{2}$ is in line with the previous theoretical prediction by Hoffmann et al. ${ }^{53}$ In general, the tip angle decreases as the overall charge on the $\mathrm{AnCh}_{2}{ }^{q}$ complexes becomes more positive.

The source of the tipping of the H atoms toward the An center in $\mathrm{AnCh}_{2}{ }^{q}$ systems is analogous to that for the 3d metallocenes: The tipping causes a slight reorientation of the $\mathrm{p} \pi$ orbitals toward the central metal, which leads to increased AnCh interaction. For $\mathrm{UCh}_{2}{ }^{-}$, for example, the tipping leads to a $1.9-\mathrm{kcal} / \mathrm{mol}$ increase in the $\mathrm{An}-\mathrm{Ch}$ bonding energy relative to a constrained planar $\mathrm{C}_{7} \mathrm{H}_{7}$ ring.

The $\mathrm{C}-\mathrm{C}$ bond lengths in Tables 5 and 6 provide us with interesting information regarding the metal-ligand bonding in $\mathrm{AnCh}_{2}{ }^{q}$ complexes. Both ligand-to-metal donation from the bonding $\pi_{1} \mathrm{MO}$ of Ch and metal-to-ligand back-donation into the antibonding $\pi_{2} \mathrm{MO}$ of Ch will serve to lengthen the $\mathrm{C}-\mathrm{C}$ bonds. In Table 5 we see that the relativistic $\mathrm{C}-\mathrm{C}$ bonds are less than $0.01 \AA$ longer than the non-relativistic ones, which is consistent with the relativistically shortened $\mathrm{An}-\mathrm{C}$ distances. Overall, the $\mathrm{C}-\mathrm{C}$ bond lengths are far less sensitive to the inclusion of relativistic effects than are the $\mathrm{An}-\mathrm{C}$ bond lengths,

[^6]Table 6. The First Adiabatic Ionization Energies (eV) for $\mathrm{AnCh}_{2}{ }^{q}(\mathrm{An}=\mathrm{Th}-\mathrm{Am} ; q=2-, 1-, 0)$ and the Transition-State Ionization Energies (in parentheses) for Neutral $\mathrm{AnCh}_{2}$ Molecules ${ }^{a}$

| $q$ | Th | Pa | U | Np | Pu |  |
| ---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $2-$ | -2.16 | -3.90 | -3.68 | -3.89 | -3.10 | -2.92 |
| $1-$ | 1.96 | 2.33 | 1.57 | 1.66 | 0.29 | 0.98 |
| 0 | $6.15(6.15)$ | $6.68(6.63)$ | $7.14(7.09)$ | $7.02(7.00)$ | $6.87(6.80)$ | $6.81(6.75)$ |

${ }^{a}$ Calculations at the restricted $\mathrm{BP}^{\mathrm{R}}$ level.


Figure 6. Optimized $L D A^{N R}, L D A^{R}$, and $\mathrm{BP}^{R} \mathrm{C}-\mathrm{C}$ bond lengths plotted vs the charge $q$ of $\mathrm{C}_{7} \mathrm{H}_{7}{ }^{q}$.
indicating, as expected, that the relativistic effects have negligible direct effect on the geometry of the $\mathrm{C}_{7} \mathrm{H}_{7}$ rings.

In general, the $\mathrm{C}-\mathrm{C}$ bond lengths increase monotonically as $q$ becomes more negative. This observation is not surprising; it indicates that a fraction of each added electron is "shuttled" into the antibonding $\pi_{2}$ MOs of the Ch ligands. Thus, the $\mathrm{C}-\mathrm{C}$ bond lengths provide an indication of the formal charges on the Ch ligands in the complexes. To provide this comparison, we have calculated the $\mathrm{C}-\mathrm{C}$ distances in the free $\mathrm{C}_{7} \mathrm{H}_{7}{ }^{q}$ ligand ( $q=3-, 2-, 1-, 0,1+$ ), corresponding to variation of the $\pi_{2}$ population from 4 to 0 . In Figure 6, the optimized LDA ${ }^{\text {NR }}$, $\mathrm{LDA}^{\mathrm{R}}$, and $\mathrm{BP}^{\mathrm{R}} \mathrm{C}-\mathrm{C}$ bond lengths are plotted versus the charge $q$ for the $\mathrm{C}_{7} \mathrm{H}_{7}{ }^{q}$ ligands. As expected, the $\mathrm{C}-\mathrm{C}$ bond lengths increase (by $0.01-0.02 \AA$ ) as each electron is added. The $\mathrm{C}-\mathrm{C}$ distances from $\mathrm{BP}^{R}$ calculations are systematically $0.012 \AA$ longer than those from $\mathrm{LDA}^{\mathrm{R}}$ calculations, while the $\mathrm{C}-\mathrm{C}$ distances are practically the same from $\mathrm{LDA}^{\mathrm{NR}}$ and $\mathrm{LDA}^{\mathrm{R}}$ calculations. The relation between the $\mathrm{C}-\mathrm{C}$ distances $d(\mathrm{C}-$ C), calculated at the $\mathrm{LDA}^{\mathrm{R}}$ and $\mathrm{BP}^{\mathrm{R}}$ levels for free $\mathrm{C}_{7} \mathrm{H}_{7}{ }^{q}$, and the charge $(q)$ can be well reproduced by the quadratic functions in eqs 1 and 2 :

$$
\begin{gather*}
d(\mathrm{C}-\mathrm{C})_{\mathrm{LDA}}=1.402-0.009414 q+0.002643 q^{2}  \tag{1}\\
d(\mathrm{C}-\mathrm{C})_{\mathrm{BP}}=1.415-0.009686 q+0.002857 q^{2} \tag{2}
\end{gather*}
$$

A comparison of these free ligand $\mathrm{C}-\mathrm{C}$ bond distances to those in the $\mathrm{AnCh}_{2}{ }^{q}$ complexes ( $\mathrm{LDA}^{\mathrm{R}}$ : $1.41-1.43 \AA$; $\mathrm{BP}^{\mathrm{R}}$ : $1.43-1.45 \AA$ ) indicates that the Ch ligands in the complexes have formal charges in the range $q=1-$ to $2-$. We will see that these values are in good agreement with the formal oxidation states assigned via population analysis, which we will discuss in the next section.

Quantitative Aspects of the Bonding in $\mathbf{A n C h}_{2}$ Complexes. In light of our results on the configurations, conformations, and geometries of the $\mathrm{AnCh}_{2}$ compounds, we will now address some of the quantitative aspects of the bonding in these cyclohep-

[^7]tatrienyl sandwich compounds. By comparing the energy level diagram of $\mathrm{UCh}_{2}$ (Figure 4) with that of $\mathrm{U}(\mathrm{COT})_{2}$, which has been previously analyzed in detail, ${ }^{8}$ we can expect that the bonding in these two molecules should be somewhat similar. However, due to the weak antibonding nature of the frontier $\pi_{2}$ orbitals of the $\mathrm{C}_{7} \mathrm{H}_{7}$ ring, there will be some noticeable differences as well.

We will first return to the spin-orbit averaged energy levels for $\mathrm{UCh}_{2}$, presented in Figure 4. As noted before, the uranium AO energies are greatly affected by relativistic effects. Upon the inclusion of the scalar relativistic effects, the U 6 s and 7 s orbitals are stabilized by 12.29 and 0.77 eV , respectively, due to the relativistic mass-velocity effect (direct relativistic effect)..$^{54}$ The U 5f and 6d orbitals are destabilized by 6.57 and 1.57 eV , primarily because of the increased electronic shielding caused by the contraction of s-type orbitals (indirect relativistic effect). ${ }^{55}$ The 6 p orbitals are stabilized by 0.83 eV , but the 7 p orbitals are actually slightly destabilized by $0.30 \mathrm{eV} .{ }^{56}$ These changes in the U AO energies caused by relativity have profound effects on the interaction of the U AOs with the $\mathrm{C}_{7} \mathrm{H}_{7}$ orbitals.

Our calculations on $\mathrm{UCh}_{2}$ have treated the U 6 s and 6 p AOs (which are considered "semicore" orbitals) as variational orbitals. Although it is generally regarded that the $U 6 s$ and $6 p$ semicore orbitals should be included in calculations of actinide complexes, the roles played by these orbitals in the bonding and geometries of some uranium compounds have been the subject of some controversy. ${ }^{57,58}$ In the present calculation, we see very little interaction between the U 6 s orbital and the ligands; the principal interactions between the ligands and the U s orbitals involve the high-lying U 7 s AO. As an example, the $4 \mathrm{a}_{1}{ }^{\prime} \mathrm{MO}$ of $\mathrm{UCh}_{2}$, which is the lowest one shown in Figure 4 , contains $0 \% \mathrm{U} 6 \mathrm{~s}$ and $13 \% \mathrm{U} 7 \mathrm{~s}$ character. The 6 p orbitals interact more significantly with the ligand-based orbitals than does the 6 s . For example, the $1 \mathrm{a}_{2}{ }^{\prime \prime} \mathrm{MO}$, which is largely U $6 \mathrm{p}_{0}$ in character, contains ca. $40 \%$ contribution from C-based AOs. The interaction of the $6 p_{ \pm 1}$ orbitals is relatively less because of the disadvantageous angular orientation of these orbitals with respect to the $\mathrm{C}_{7} \mathrm{H}_{7}$ rings.

Of course, the most important frontier orbitals of $\mathrm{UCh}_{2}$ are those generated by interaction of the uranium 5 f and 6 d orbitals with the $\mathrm{p} \pi$ orbitals of the Ch rings (Figure 3). The energies of these MOs reflect the differing degrees of interaction between the metal and ring orbitals. For example, the primarily Chbased $3 \mathrm{e}_{1}{ }^{\prime \prime}$ and $4 \mathrm{e}_{1}{ }^{\prime}$ MOs show an energy reversal relative to the $(\mathrm{Ch})_{2} \pi_{1}{ }^{\prime}$ and $\pi_{1}{ }^{\prime \prime}$ group orbitals. The $\pi_{1}{ }^{\prime}$ and $\pi_{1}{ }^{\prime \prime}$ group orbitals interact primarily with the $\mathrm{U} 5 \mathrm{f}_{ \pm 1}$ and $6 \mathrm{~d}_{ \pm 1} \mathrm{AOs}$, respectively. The 6 d orbitals are more radially diffuse than are

[^8]the 5 f AOs, ${ }^{59}$ and the angular distribution of the $6 \mathrm{~d}_{ \pm 1}$ orbitals is ideally suited to interact with the $\pi_{1}{ }^{\prime \prime}$ group orbital. As a consequence, we find a much greater contribution of the $6 \mathrm{~d}_{ \pm 1}$ AOs to the $3 \mathrm{e}_{1}{ }^{\prime \prime} \mathrm{MO}(15 \%)$ than of the $5 \mathrm{f}_{ \pm 1}$ AOs to the $4 \mathrm{e}_{1}{ }^{\prime}$ MO (1\%).

The most important interactions between U and $(\mathrm{Ch})_{2}$ involve the $5 \mathrm{f}_{ \pm 2}-\pi_{2}^{\prime \prime}$ and $6 \mathrm{~d}_{ \pm 2}-\pi_{2}^{\prime}$ pairs (Figure 4). The relative energetic ordering of the lower-energy, ligand-based $\mathrm{e}_{2}{ }^{\prime}$ and $\mathrm{e}_{2}{ }^{\prime \prime}$ MOs will be a compromise of (i) the initial energetic difference of the $(\mathrm{Ch})_{2} \pi_{2}{ }^{\prime}$ and $\pi_{2}{ }^{\prime \prime}$ group orbitals, (ii) the better energetic match between the $\mathrm{U} 5 \mathrm{f}_{ \pm 2}$ and the ligand $\pi_{2}{ }^{\prime \prime}$ orbital, and (iii) the greater radial extension of the $6 d_{ \pm 2}$ AOs relative to the $5 f_{ \pm 2}$ AOs. The ordering of similar MOs in $\mathrm{U}(\mathrm{COT})_{2}$ was the subject of some controversy and has been resolved experimentally by Green et al. via variable-energy photoelectron spectroscopy. ${ }^{60}$ For $\mathrm{UCh}_{2}$, we find that the $3 \mathrm{e}_{2}^{\prime} \mathrm{MO}$, which has U 6 d character, is 0.4 eV lower in energy than the $3 \mathrm{e}_{2}{ }^{\prime \prime} \mathrm{MO}$, which has U 5 f character. This MO ordering is analogous to that in the corresponding $\mathrm{e}_{2 \mathrm{~g}}$ and $\mathrm{e}_{2 \mathrm{u}} \mathrm{MOs}$ of $\mathrm{U}(\mathrm{COT})_{2}$ inasmuch as the MO with U 6 d character is lower in energy than the one with U 5f character.

Although the $3 \mathrm{e}_{2}{ }^{\prime}$ MO is lower in energy than the $3 \mathrm{e}_{2}{ }^{\prime \prime} \mathrm{MO}$, we find that the interaction of the $5 \mathrm{f}_{ \pm 2} \mathrm{AOs}$ with the $\pi_{2}{ }^{\prime \prime}$ group orbitals is as strong as that of the $6 \mathrm{~d}_{ \pm 2}$ AOs and the $\pi_{2}{ }^{\prime}(\mathrm{Ch})_{2}$ orbitals, largely because of the close energetic match of the $5 f_{ \pm 2}$ and $\pi_{2}{ }^{\prime \prime}$ orbitals; in fact, as is evident in Figure 4, these orbitals are nearly isoenergetic ( $\Delta E=0.64 \mathrm{eV}$ ), which should lead to MOs that are nearly equal U and $\mathrm{Ch}_{2}$ in character. Upon coordination to the U atom, the $\pi_{2}{ }^{\prime \prime}$ and $\pi_{2}{ }^{\prime}$ group orbitals of $\mathrm{Ch}_{2}$ are stabilized by the $5 \mathrm{f}_{ \pm 2}$ and $6 \mathrm{~d}_{ \pm 2}$ orbitals by 1.71 and 1.49 eV , respectively, showing the $5 \mathrm{f}_{ \pm 2}-\pi_{2}{ }^{\prime \prime}$ interaction energy is larger than the $6 \mathrm{~d}_{ \pm 2}-\pi_{2}^{\prime}$ interaction. In addition, the angular distribution of the $5 f_{ \pm 2}$ orbitals is directed toward the two $\mathrm{C}_{7} \mathrm{H}_{7}$ rings, whereas the $6 \mathrm{~d}_{ \pm 2}$ orbitals lie mainly in the $\sigma_{h}$ plane through the U atom. Therefore, the $5 \mathrm{f}_{ \pm 2}$ AOs are more efficient with respect to angular overlap even though they are more radially contracted than the 6 d AOs . As a consequence of these effects, the contribution of the $\mathrm{U} 5 \mathrm{f}_{ \pm 2}$ orbitals to the $3 \mathrm{e}_{2}{ }^{\prime \prime}$ orbitals is $54 \%$, but that of the $6 \mathrm{~d}_{ \pm 2}$ orbitals to the $3 \mathrm{e}_{2}^{\prime}$ orbitals is only $29 \%$. As noted earlier, in the ${ }^{1} \mathrm{~A}_{1}{ }^{\prime}$ closed-shell state of $\mathrm{UCh}_{2}$ the $3 \mathrm{e}_{2}{ }^{\prime}$ and $3 \mathrm{e}_{2}{ }^{\prime \prime}$ MOs are completely filled, and the $3 \mathrm{e}_{2}{ }^{\prime \prime}$ is the HOMO of the molecule.

Immediately above the $3 \mathrm{e}_{2}{ }^{\prime}$ and $3 \mathrm{e}_{2}{ }^{\prime \prime}$ MOs is a manifold of largely U 5f-based MOs, namely the $5 \mathrm{a}_{2}{ }^{\prime \prime}\left(96 \% 5 \mathrm{f}_{0}\right), 3 \mathrm{e}_{3}{ }^{\prime}(97 \%$ $\left.5 f_{ \pm 3}\right)$, and $5 \mathrm{e}_{1}^{\prime}\left(92 \% 5 \mathrm{f}_{ \pm 1}\right)$ MOs. These MOs, which comprise five of the seven U 5 f AOs, lie in a narrow energetic band (ca. 0.5 eV ). The remaining two 5 f orbitals are the $5 \mathrm{f}_{ \pm 2} \mathrm{AOs}$, which are involved in the strong interaction with the $(\mathrm{Ch})_{2} \pi_{2}{ }^{\prime \prime}$ orbitals. Thus, the $4 \mathrm{e}_{2}{ }^{\prime \prime}$ MO ( $46 \% 5 \mathrm{f}_{ \pm 2}$ ), which is the antibonding counterpart of the bonding $3 \mathrm{e}_{2}{ }^{\prime \prime} \mathrm{MO}$ discussed above, is greatly destabilized relative to the other 5f-based MOs. We have observed this ligand-induced "splitting out" of one or more 5 f orbitals in other organoactinide complexes, such as $\mathrm{Cp}_{3} \mathrm{An}$ systems. ${ }^{61}$

Other $\mathrm{AnCh}_{2}{ }^{q}$ complexes of the early actinides are expected to have bonding interactions similar to those in $\mathrm{UCh}_{2}$, although they will differ quantitatively because of the variations in the 6 d and 5 f orbital energies. A further complication in determining the electronic structures of these complexes arises because of inevitable violations of the aufbau principle, which should

[^9]

Figure 7. Calculated fractional orbital occupations for the frontier MOs of the neutral $\mathrm{AnCh}_{2}$ molecules $(\mathrm{An}=\mathrm{Th}-\mathrm{Am})$.


Figure 8. Energies of the frontier MOs of the neutral $\mathrm{AnCh}_{2}$ molecules $(A n=T h-A m)$ and the percentage contributions of the $6 \mathrm{~d}_{ \pm 2}$ and $5 f_{ \pm 2}$ AOs to the $3 \mathrm{e}_{2}^{\prime}$ and $3 \mathrm{e}_{2}{ }^{\prime \prime}$ MOs.
always be followed in any density functional approach. ${ }^{62}$ In DFT methods, the orbital energies of virtual orbitals tend to be too low as compared to those of the occupied ones. ${ }^{63}$ Thus, apparent violations of the aufbau principle often arise when the frontier orbitals are closely spaced in energy and are not completely filled. In the case of $\mathrm{UCh}_{2}{ }^{-}$, for instance, placing the added electron in the $f_{0}$ orbital causes the unoccupied $f_{ \pm 3}$ orbitals to lie below the $f_{0}$ orbital. Conversely, if the electron is put in the $f_{ \pm 3}$ orbitals, then the unoccupied $f_{0}$ orbital lies below the $f_{ \pm 3}$ orbitals.

To avoid these difficulties, we have used an approximation in which the electron density is "smeared out" among closely spaced orbitals near the HOMO. In this procedure, frontier orbitals near the HOMO (within 0.05 hartree) are optimized with fractional occupations, which greatly improves the SCF convergence. The geometries for all the $\mathrm{AnCh}_{2}$ complexes have been reoptimized within this approximation. The calculated fractional orbital occupations and energies for the frontier MOs of the neutral $\mathrm{AnCh}_{2}$ molecules ( $\mathrm{An}=\mathrm{Th}-\mathrm{Am}$ ) are displayed in Figures 7 and 8. In Figure 8, the percentage contributions of the $6 \mathrm{~d}_{ \pm 2}$ and $5 \mathrm{f}_{ \pm 2}$ AOs to the $3 \mathrm{e}_{2}{ }^{\prime}$ and $3 \mathrm{e}_{2}{ }^{\prime \prime}$ MOs are indicated.

[^10]Figures 7 and 8 show regular periodic trends in the orbital structure of $\mathrm{AnCh}_{2}$ as one proceeds from Th to Am . $\mathrm{ThCh}_{2}$ is, in essence, electron deficient; there are not enough electrons to fill the ligand-based $3 \mathrm{e}_{2}{ }^{\prime \prime}$ and $3 \mathrm{e}_{2}{ }^{\prime}$ orbitals, and the occupations of these orbitals are clearly smaller in $\mathrm{ThCh}_{2}$ than in $\mathrm{PaCh}_{2}$. The LUMO of $\mathrm{ThCh}_{2}$ is the $6 \mathrm{~d} / 7 \mathrm{~s}$-based $5 \mathrm{a}_{1}{ }^{\prime} \mathrm{MO}$; as we have seen previously for organothorium complexes, the 6 d orbitals are lower in energy than the 5 f orbitals. ${ }^{64}$ As we proceed through the actinide series, the 5 f AOs drop in energy while the 6 d AOs rise slightly. Thus, the largely nonbonding 5f-based MOs ( $5 \mathrm{a}_{2}{ }^{\prime \prime}, 3 \mathrm{e}_{3}{ }^{\prime}$, and $5 \mathrm{e}_{1}{ }^{\prime}$ ) show a steady drop in energy with increasing nuclear charge, and as the number of electrons increases, their fractional occupations increase regularly. Further, as noted in Figure 8, the An $5 f$ contribution to the $3 \mathrm{e}_{2}{ }^{\prime \prime}$ MO increases monotonically as the energy of the 5 f orbitals drops. The energy of the 5 f -based $4 \mathrm{e}_{2}{ }^{\prime \prime}$ MO, which is the AnCh antibonding counterpart of the $3 \mathrm{e}_{2}{ }^{\prime \prime} \mathrm{MO}$, largely parallels those of the other 5f-based MOs.

The fact that the An 5f contribution to the $3 \mathrm{e}_{2}{ }^{\prime \prime}$ MOs increases as we progress from Th to Am does not necessarily indicate an increasing interaction between the $5 \mathrm{f}_{ \pm 2} \mathrm{AOs}$ and the $\mathrm{Ch} \pi_{2}$ orbitals. In fact, the energies of the $3 \mathrm{e}_{2}{ }^{\prime \prime}$ orbitals are nearly constant through the series while the $4 \mathrm{e}_{2}{ }^{\prime \prime}-3 \mathrm{e}_{2}{ }^{\prime \prime}$ energy gap decreases, indicating a decrease in the bonding and antibonding interactions between the An and ring orbitals. These seemingly contradictory observations reflect the fact that although the 5 f orbitals become closer in energy to the $\mathrm{Ch} \pi_{2}$ from Th to Am, the $5 f$ orbitals are simultaneously becoming more and more radially contracted. This "actinide contraction" results in a net reduction of orbital overlap. ${ }^{65}$ These two counteracting factors, decreasing 5f orbital energy and decreasing 5f radial extension, nearly cancel one another, giving rise to a net decrease in the role of the 5 f orbitals in the bonding of these compounds. We have previously noted these periodic effects in $\mathrm{Cp}_{3} \mathrm{An}$ ( $\mathrm{An}=$ $\mathrm{U}-\mathrm{Cf}$ ) compounds. ${ }^{66}$ We will soon show that the calculated An to $\mathrm{C}_{7} \mathrm{H}_{7}$ bond strengths also show this decreasing 5 f participation as we proceed from left to right.

An- $\mathbf{C}_{7} \mathbf{H}_{7}$ Bond Energies and Ionization Energies. We can use the optimized geometries and the theoretically determined ground configurations to examine trends in the bond energies, ionization energies, and electron affinities of the $\mathrm{AnCh}_{2}$ complexes. For the neutral compounds, we have calculated the homolytic bond energies, $D_{\mathrm{e}}$ (homo), defined here as:

$$
\begin{equation*}
D_{\mathrm{e}}(\text { homo })=1 / 2\left\{E[\mathrm{An}]+2 E\left[\mathrm{C}_{7} \mathrm{H}_{7}\right]-E\left[\mathrm{An}\left(\mathrm{C}_{7} \mathrm{H}_{7}\right)_{2}\right]\right\} \tag{3}
\end{equation*}
$$

$E[\mathrm{An}]$ is the average-of-configuration energy of the actinide atom, calculated in the atomic ground configuration with spinpolarization corrections. $E\left[\mathrm{C}_{7} \mathrm{H}_{7}\right]$ is the molecular energy of a neutral $D_{7 h} \mathrm{C}_{7} \mathrm{H}_{7}$ ligand in the $\pi$ electron configuration $\left(\mathrm{a}_{2}{ }^{\prime \prime}\right)^{2}$ $\left(\mathrm{e}_{1}{ }^{\prime \prime}\right)^{4}\left(\mathrm{e}_{2}^{\prime \prime}\right)^{1}\left({ }^{2} \mathrm{E}_{2}{ }^{\prime \prime}\right.$ state $)$. These calculations were carried out at both the UKS and RKS levels, with very similar results. We report the UKS results here.

Figure 9 shows a plot of $D_{\mathrm{e}}$ (homo) vs An for the neutral $\mathrm{AnCh}_{2}$ molecules. The decrease in the bond energies from $\mathrm{PaCh}_{2}$ to $\mathrm{AmCh}_{2}$ is in accord with the bonding picture presented earlier for $\mathrm{AnCh}_{2}$ complexes. As noted, the 5 f orbitals contract with increasing atomic number, which leads to a decrease in the overlap between the actinide $5 \mathrm{f}_{ \pm 2} \mathrm{AOs}$ and the $\mathrm{e}_{2}{ }^{\prime \prime}$ ring orbitals. In addition, the $\mathrm{An} 6 \mathrm{~d}-\mathrm{Ch} \pi_{2}$ energy gap continually increases with increasing atomic number, which leads to

[^11]

Figure 9. Plot of the homolytic bond energy $\left[D_{\mathrm{e}}\right.$ (homo) $]$ vs An for the neutral $\mathrm{AnCh}_{2}$ molecules.
decreased interaction between the An 6 d AOs and the $\mathrm{e}_{2}{ }^{\prime}$ ring orbitals. The decrease in $D_{\mathrm{e}}$ (homo) from Th to Am suggests that the stabilities of $\mathrm{AnCh}_{2}$ sandwich compounds will decrease accordingly. ${ }^{67,68}$

We have also used the ground electron configurations to calculate the adiabatic first ionization energy ( $\mathrm{IE}_{1}$ ) and the adiabatic electron affinity (EA) for the $\mathrm{AnCh}_{2}{ }^{q}$ complexes. These quantities are defined as

$$
\begin{align*}
\mathrm{IE}_{1} & =E\left(\mathrm{AnCh}_{2}^{+}, \mathrm{R}_{\mathrm{e}}^{+}\right)-E\left(\mathrm{AnCh}_{2}, \mathrm{R}_{\mathrm{e}}\right)  \tag{4}\\
\mathrm{EA} & =-\left[E\left(\mathrm{AnCh}_{2}^{-}, \mathrm{R}_{\mathrm{e}}^{-}\right)-E\left(\mathrm{AnCh}_{2}, \mathrm{R}_{\mathrm{e}}\right)\right] \tag{5}
\end{align*}
$$

where $E\left(\mathrm{AnCh}_{2}{ }^{q}, \mathrm{R}_{\mathrm{e}}{ }^{q}\right)$ is the total energy of the molecule or ion at its optimized geometry. It is evident that the EA of $\mathrm{AnCh}_{2}$ is equal to the adiabatic first IE of $\mathrm{AnCh}_{2}{ }^{-}$. Therefore, our discussion will focus on the trends in the values of $\mathrm{IE}_{1}$ for the neutral and anionic species. Because the geometries change little upon the addition or removal of an electron, the adiabatic IEs will be very close in value to the vertical IEs.

The adiabatic $\mathrm{IE}_{1}$ values for the $\mathrm{AnCh}_{2}$ and $\mathrm{AnCh}_{2}{ }^{-}$ complexes are listed in Table 6. We also list the values for the neutral $\mathrm{AnCh}_{2}$ molecules obtained by using Slater's transition state (TS) method. ${ }^{69}$ Several trends are apparent. First, the values of $\mathrm{IE}_{1}$ show a general increase as from Th to Pa to U , and decrease from that point on. This observation is consistent with the bond energy analysis, which follows the same trends (Figure 9). Second, the ionization energies calculated by the TS method are generally in excellent agreement with those calculated by total energy differences. Third, the ionization energies of the anions are all positive, which implies that the EA values of the neutrals are all positive. Thus, the $\mathrm{AnCh}_{2}{ }^{-}$ anions are bound relative to the neutral $\mathrm{AnCh}_{2}$ molecule plus a free electron, at least in the gas phase. We believe that this observation explains in part why the $\mathrm{UCh}_{2}{ }^{-}$anion rather than the neutral, closed-shell $\mathrm{UCh}_{2}$ molecule is the first $\mathrm{AnCh}_{2}{ }^{q}$ complex to be isolated experimentally.

[^12]We have also examined the ionization energies of the $\mathrm{AnCh}_{2}{ }^{2-}$ dianions, which will give the EA of the $\mathrm{AnCh}_{2}{ }^{-}$ anions. For all An, we find that $\mathrm{AnCh}_{2}{ }^{-}$has a negative EA, implying that these anions will not bind an electron in the gas phase. It therefore seems unlikely that $\mathrm{AnCh}_{2}{ }^{2-}$ complexes will be found to be stable.

Formal Charges in $\mathbf{A n C h}_{2}{ }^{q}$ Complexes. We will return to the question of the best description of the formal charges on An and $\mathrm{C}_{7} \mathrm{H}_{7}$ in these sandwich complexes, this time via population analysis of the ground charge distributions. Earlier we used analysis of the $\mathrm{C}-\mathrm{C}$ bond lengths to propose that the $\mathrm{C}_{7} \mathrm{H}_{7}$ ligands in the $\mathrm{AnCh}_{2}{ }^{q}$ complexes carry a formal charge that is between $1-$ and $2-$.

Our calculated electron affinities for $\mathrm{C}_{7} \mathrm{H}_{7}{ }^{+}, \mathrm{C}_{7} \mathrm{H}_{7}, \mathrm{C}_{7} \mathrm{H}_{7}{ }^{-}$, and $\mathrm{C}_{7} \mathrm{H}_{7}{ }^{2-}$ are $6.20,0.51,-4.97$, and -10.22 eV , respectively, which indicates that it is unlikely that the $\mathrm{C}_{7} \mathrm{H}_{7}$ ligand will exist in a formal 3- valence state. Further, the experimental ionization energies for actinide atoms ${ }^{70}$ indicate that the fourth ionization energies of Pa through Am are extremely large, approaching the sum of the first three ionization energies. Thus, although formal An oxidation states of +5 or +6 may be assigned in these systems (vide infra), it is apparent that the actual positive charge on the An atom will be considerably less.

We have undertaken Mulliken population analysis ${ }^{71}$ of the $\mathrm{BP}^{\mathrm{R}}$ charge distributions in the $\mathrm{AnCh}_{2}{ }^{q}$ complexes; the Mulliken populations are tabulated in the Supporting Information. In nearly all cases, the calculated charge on the An atom is between +2.5 and +3.1 regardless of the overall charge on the complex. For example, the calculated charges on the U atom in $\mathrm{UCh}_{2}$ and $\mathrm{UCh}_{2}{ }^{-}$are +2.54 and +2.53 , respectively. As a point of comparison, our calculated charges on U in $\mathrm{UF}_{6}$ and the $\mathrm{UO}_{2}{ }^{2+}$ ion are +4.77 and +2.44 , respectively. ${ }^{72}$ Both $\mathrm{UF}_{6}$ and $\mathrm{UO}_{2}{ }^{2+}$ are considered $\mathrm{U}(\mathrm{VI})$ complexes.

We note that the formal charge on $U$ is essentially the same for both $\mathrm{UCh}_{2}$ and $\mathrm{UCh}_{2}{ }^{-}$. This somewhat surprising result implies that the addition or removal of electrons in $\mathrm{AnCh}_{2}$ systems involves primarily the ligand-based electrons. This conclusion is in excellent accord with our earlier analysis of the $\mathrm{C}-\mathrm{C}$ bond distances, and with the previous conclusions on the nominal Ch charge in transition-metal ChMCp complexes. ${ }^{12}$

Oxidation States in $\mathbf{U C h}_{\mathbf{2}}$ and $\mathbf{U C h}_{\mathbf{2}}{ }^{-}$. Finally, we will address a more formalistic question, but one that is of great interest to inorganic chemists: What is the best choice of oxidation state for the actinide element in these cycloheptatrienyl sandwich complexes? Because of the intense interest in uranium chemistry, we shall focus on the known $\mathrm{UCh}_{2}{ }^{-}$ion and the neutral $\mathrm{UCh}_{2}$ molecule.

At first glance, $\mathrm{UCh}_{2}$ might be described as an $\mathrm{f}^{0} \mathrm{U}(\mathrm{VI})$ center interacting with two Hückel aromatic $\mathrm{C}_{7} \mathrm{H}_{7}{ }^{3-}$ rings; like other $\mathrm{f}^{0}$ complexes, $\mathrm{UCh}_{2}$ is closed shell and has no electrons residing in U-localized 5 f orbitals. By this reasoning, the $\mathrm{UCh}_{2}{ }^{-}$would be an $f^{1} U(V)$ complex. This is the description that was proposed by Ephritkhine and co-workers in their initial report of the synthesis of $\mathrm{UCh}_{2}{ }^{-}$. Although these descriptions are not unreasonable, we think that they are not the best ones for these systems because they neglect the strong $\mathrm{U}-\mathrm{Ch}$ interactions.

As noted earlier, the $3 \mathrm{e}_{2}{ }^{\prime \prime} \mathrm{MO}$ of $\mathrm{UCh}_{2}$ and $\mathrm{UCh}_{2}{ }^{-}$is a nearly equal mixture of the U 5 f and $\mathrm{Ch} \pi_{2}$ orbitals. In both $\mathrm{UCh}_{2}$ and $\mathrm{UCh}_{2}{ }^{-}$, this MO is filled with four electrons. Because of

[^13]the nearly equal sharing, it is reasonable for purposes of assigning oxidation states to attribute two of these electrons to the U 5 f orbitals and two to the $\mathrm{Ch} \pi_{2}$ orbitals. This partitioning scheme would lead to $\mathrm{UCh}_{2}$ being described as an $\mathrm{f}^{2} \mathrm{U}(\mathrm{IV})$ center interacting with two $\mathrm{Ch}^{2-}$ ligands. Likewise, $\mathrm{UCh}_{2}{ }^{-}$ would be considered an $f^{3} U$ (III) complex. It is notable that U (III) and U (IV) are two of the most common oxidation states in organouranium chemistry.

The strong sharing of electrons between the U and Ch orbitals differs somewhat from typical metal-Cp interactions. In most Cp complexes, the $\mathrm{M}-\mathrm{Cp}$ bonding orbitals are predominantly Cp in character, which leads to the description of the ligand as $\mathrm{Cp}^{-}$. The situation in $\mathrm{UCh}_{2}$ is similar to other metal-ring systems, such as $\left(\eta^{4}-\mathrm{C}_{4} \mathrm{H}_{4}\right) \mathrm{Fe}(\mathrm{CO})_{3}{ }^{73}$ and $\left(\eta^{8}-\mathrm{C}_{8} \mathrm{H}_{8}\right)_{2} \mathrm{Ce},{ }^{88, \mathrm{~h}}$ in which there is nearly equal sharing between the metal and ring orbitals in some of their MOs.

As noted in Figure 8, the An 5 f contribution to the $3 \mathrm{e}_{2}{ }^{\prime \prime} \mathrm{MO}$ changes substantially as one moves across the actinide elements. The strong An-Ch sharing in this MO is most evident for U , Np , and Pu . Thus, we would assign oxidation states for the Np and Pu complexes in a fashion analogous to that for the U complexes.

## Concluding Comments

The successful synthesis and characterization of $\left[\mathrm{U}\left(\eta^{7}\right.\right.$ $\left.\left.\mathrm{C}_{7} \mathrm{H}_{7}\right)_{2}\right]^{-}$opens a new chapter in the organometallic chemistry of the actinide elements. This contribution is intended to chart future directions in this chemistry with the hope that it becomes a more developed area of investigation. Our calculations provide the following guidance: (1) In general, we expect the chemistry of $\mathrm{AnCh}_{2}{ }^{q}$ complexes to be more successfully developed for the early actinide elements than for the late ones. (2) The fact that the first species in this class is anionic is not surprising. We find that the electron affinities of all the $\mathrm{AnCh}_{2}$ neutrals are positive, indicating that they should be oxidizing species. (3) The An-Ch bonding involves significant interactions between the ring orbitals and both the An 6d and 5f atomic orbitals. (4) Oxidation or reduction of the complexes should involve primarily ligand-based electrons. We hope that spectroscopic studies on these systems, particularly the uranium species, will provide corroboration of this bonding description.

In spite of the efforts put forth here, it is clear that an even more proper description of the ground electronic structures of these molecules and ions will require additional computational effort. In particular, inclusion of spin-orbit coupling will be necessary for the correlation of optical spectra, and the inclusion of non-dynamic electron correlation is indicated because of the presence of near-degenerate configurations in the ground states. Our efforts on these problems are ongoing.

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Supporting Information Available: Tables of the optimized structures and energies for the ground electron configurations of eclipsed $\mathrm{AnCh}_{2}{ }^{q}(\mathrm{An}=\mathrm{Th}-\mathrm{Am} ; q=2-, 1-, 0,1+)$ systems, and of the Mulliken atomic charges, $\mathrm{C}_{7} \mathrm{H}_{7}$ group charge, and An 5f and 6d total populations for $\mathrm{AnCh}_{2}{ }^{q}$ systems at the optimized geometries (3 pages). See any current masthead page for ordering and Internet access instructions.
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[^2]:    (32) Our preliminary calculations that include spin-orbit effects provide support for the neglect of these effects for the properties discussed in this paper. For example, the strong metal-ligand bonding MOs of $\mathrm{UCh}_{2}{ }^{-}$, the $3 \mathrm{e}_{2}{ }^{\prime}$ and $3 \mathrm{e}_{2}{ }^{\prime \prime}$ MOs, are split by only about 0.1 eV by spin-orbit coupling. The 5 f -localized MOs are affected more, but not to an extent that the ordering of states is drastically changed. For example, the double-group ground state determined for $\mathrm{UCh}_{2}{ }^{-}$has the $\left(\mathrm{e}_{13 / 2}\right)^{1}$ configuration, which corresponds directly to the single-group ground state, $\left(\mathrm{a}_{2}{ }^{\prime \prime}\right)^{1}$, inasmuch as the $\mathrm{e}_{13 / 2}$ orbital is derived principally from the $\mathrm{U} 5 \mathrm{f}_{0}$ single-group orbital. We expect that the inclusion of these effects would not cause any significant change in the geometries calculated in this paper.

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[^4]:    (36) It has been shown by spin-orbit CI calculations that the ground states of $\mathrm{An} @ \mathrm{C}_{28}$ possess $\left(\pi^{*}\right)^{1}(\mathrm{f})^{n-1}$ configurations rather than $(\mathrm{f})^{n}$ configurations for Pa and U. See ref 7c and: Zhao, K.; Pitzer, R. M. J. Phys. Chem. 1996, 100, 4798.
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    $$
    D_{\mathrm{e}}(\text { atom })=E(\mathrm{An})+14 E(\mathrm{C})+14 E(\mathrm{H})-E\left[\mathrm{An}\left(\mathrm{C}_{7} \mathrm{H}_{7}\right)_{2}\right]
    $$

    For our calculations, $D_{\mathrm{e}}($ atom $)=2 D_{\mathrm{e}}($ homo $)+3082$, in $\mathrm{kcal} / \mathrm{mol}$.
    (68) The bond energies reported here are calculated without spin-orbit effects. As a test of the validity of this assumption, we have calculated the bond energy of $\mathrm{UCh}_{2}$ with the inclusion of spin-orbit effects. We find that the energies of the U atom and the $\mathrm{UCh}_{2}$ molecule are lowered by nearly the same amount. Thus, the effect of spin-orbit coupling on the calculated bond energy is small. We expect that the trend in the bond energies presented in Figure 9 would be qualitatively the same if spinorbit effects were included.
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